

Tree Morphisms and Bisimulations

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Abstract

A category of (action labelled) trees is defined that can be used to model unfolding of labelled transition systems and to study behavioural relations over them. In this paper we study five different equivalences based on bisimulation for our model. One, that we called *resource bisimulation*, amounts essentially to three isomorphism. Another, its weak counterpart, permits abstracting from silent actions while preserving the tree structure. The other three are the well known strong, branching and weak bisimulation equivalence. For all bisimulations, but weak, canonical representatives are constructed and it is shown that they can be obtained via enriched functors over our categories of trees, with and without silent actions. Weak equivalence is more problematic; a canonical minimal representative for it cannot be defined by quotienting our trees. The common framework helps in understanding the relationships between the various equivalences and the results provide support to the claim that branching bisimulation is the natural generalization of strong bisimulation to systems with silent moves and that resource and weak resource have an interest of their own.

1 Introduction

Behavioural equivalences play an important rôle in the description of the operational semantics of concurrent systems. These equivalences are used to abstract away the irrelevant details introduced when describing systems as sets of states that evolve by performing actions, i.e. by means of labelled transition systems. There are various opinions about which features of a system are relevant for a given purpose, and hence various notions of equivalence for labelled transition systems have been proposed; [3] and [8,9] give a comparative accounts.

Many of the equivalences proposed in the literature are based on the notion of *bisimulation* [15] which gives rise to *strong bisimulation equivalence*. Two of the most popular generalizations of this equivalence to systems with silent moves are *branching* [10] and *weak bisimulation* [14]. Both of these equivalences ignore τ (internal or silent) actions, but they deal differently with intermediate states accessed by τ transitions and lead to different identifications, putting a different stress on the branching structure of processes. While strong bisimulation is generally regarded as the equivalence which provides the minimum abstraction from the details of behaviour for τ -free transitions systems, there is little agreement about the comparative merits of the weak and branching generalizations of strong equivalence to systems with τ actions.

In our view, category theory and their abstract constructions can be a useful tool for understanding and assessing the relative merits of different concepts. Here, we consider those categories of trees that have been used to model concurrent systems [12] and nondeterministic regular expressions [6,2]. Our aim is that of reconducting the different bisimulations to a common framework where it is easier to understand their relationships.

We shall study five different bisimulation-based equivalences for our trees. The more concrete one, that we called *resource bisimulation* [6], corresponds to tree isomorphism; it is finer than strong bisimulation in that it discriminates also according to the number of computations that can be performed to reach specific states. It will be our touchstone and will guide toward defining and assessing the other equivalences. Indeed, the second one is its weak counterpart that permits abstracting from silent action while preserving the tree structure. The other three equivalences are the well known strong, branching and weak bisimulation equivalence [15,10,14]. We shall see that branching bisimulation can be obtained by mirroring the construction for weak resource bisimulation while replacing isomorphism requirements with requirements of strong bisimilarity. For resource, strong, weak resource and branching bisimulation equivalence we shall define standard representatives of their equivalence classes. These constructions are then vindicated by the enriched categorical account that we provide in the final part of the paper. We argue that similar results cannot be obtained for weak bisimulation equivalence.

We start from a basic category of trees labelled over an actions monoid, Tree , and construct a category Der with the same objects and where the maps are paths to derivatives (so a map $f : t \rightarrow t'$ tells us how to find a copy of t' in t). Invisible actions (τ s) are introduced by admitting them as labels; this generalizes Tree to Tree_τ and Der to Der_τ . To relate the two categories a functor $\text{del} : \text{Tree}_\tau \rightarrow \text{Tree}$ is defined which deletes τ -labelled branches.

We show that resource equivalence does not introduce any quotienting on Der and corresponds to the identity functor. After that, we introduce $F_S : \text{Der} \rightarrow \text{Der}$ which functorially map a tree to the canonical representative of its strong bisimulation equivalence class. Finally, we define F_{WR} and $F_B : \text{Der}_\tau \rightarrow \text{Der}_\tau$ which functorially maps a tree to the canonical representative

of its weak resource and branching bisimulation equivalence class.

In our view, these results strengthen the claim that branching bisimulation is the natural generalization of strong bisimulation to systems with silent moves and that a suitable notion of tree is fundamental in dealing with bisimulations.

2 Trees and Transitions Systems

We begin by introducing the basic concepts of labelled transition systems, their unfoldings, and the five notions of bisimulation we will study. We then present trees as a structure of *runs* with *agreements* and the relationship with unfoldings.

We begin with standard definitions about transition systems. We suppose that a set A of actions is given, together with a distinguished action $\tau \notin A$ representing a silent move. Unless otherwise stated, we confine attention to reachable transition systems with finite unfoldings.

We write A_τ for $A \cup \{\tau\}$ and A^* for the monoid of words on A with empty word ϵ . The variables a, b etc. will range over A , and μ, ν etc. over A_τ . Words will be w, v etc.

Definition 2.1 *A (rooted) labelled transition system or LTS is a quadruple $\mathcal{S} = (S, E, \rightarrow, s_0)$ where S is a set of states, ranged over by s, u etc.; E is a set of actions, $E \subseteq A_\tau$; $\rightarrow \subseteq S \times E \times S$ is a relation, the transition relation; $s_0 \in S$ is a distinguished starting state.*

We usually write $s \xrightarrow{\mu} s'$ rather than $(s, \mu, s') \in \rightarrow$, and if s, u, s' and u' are states in S we write

- i) $\xRightarrow{\epsilon}$ for the reflexive and transitive closure of $\xrightarrow{\tau}$;
- ii) $s \xRightarrow{\mu} u$ if there exist s', u' such that $s \xRightarrow{\epsilon} s' \xrightarrow{\mu} u' \xRightarrow{\epsilon} u$;
- iii) $s \not\xrightarrow{\mu}$ if there exists no $s' \in S$ such that $s \xrightarrow{\mu} s'$;
- iv) $s \not\xrightarrow{\mu}$ if $s \xrightarrow{\mu} s'$ for all $\mu \in A_\tau$.

We now introduce our five bisimulations, four of them are relatively well known, the other, weak resource bisimulation, is new and has been defined in collaboration with Flavio Corradini.

Definition 2.2 *Let $\mathcal{S} = (S, E, \rightarrow, s_0)$ be an LTS. A symmetric relation $R \subseteq S \times S$ is said to be*

- i) *a resource bisimulation if $s R u$, implies that there exists a bijection f between $\{s' | s \xrightarrow{\mu} s'\}$ and $\{u' | u \xrightarrow{\mu} u'\}$ such that $s' R f(s')$;*
- ii) *a strong bisimulation if $s R u$ and $s \xrightarrow{\mu} s'$ implies that $u \xrightarrow{\mu} u'$ and $s' R u'$;*
- iii) *a weak resource bisimulation if for all $\mu \in A_\tau$, if $s R u$, then there exists*

a bijection f between $\{s'|s \xrightarrow{\mu} s'\} - \{s'|s' \xrightarrow{\tau} s'', s' R s''\}$ and $\{u'|u \xrightarrow{\mu} u'\} - \{u'|u' \xrightarrow{\tau} u'', u' R u''\}$ such that $s' R f(s')$;

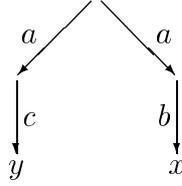
iv) a branching bisimulation if $s R u$ and $s \xrightarrow{\mu} s'$ implies that either $\mu = \tau$ and $s' R u$. or $u \xrightarrow{\epsilon} u_1 \xrightarrow{\mu} u_2 \xrightarrow{\epsilon} u_3$ and $s R u_1, s' R u_2, s' R u_3$.

v) a weak bisimulation if $s R u$ and $s \xrightarrow{a} s'$ implies that $u \xrightarrow{a} u'$ with $s' R u'$.

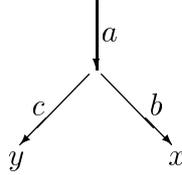
Two states are said to be resource, strong, weakly resource, branching or weak bisimilar if there exists an eponymous bisimulation relating them. We write $\approx_R, \approx_S, \approx_{WR}, \approx_B,$ and \approx_W for resource, strong, weak resource, branching, and weak bisimulation equivalence, respectively.

It is not difficult to see that, in presence of τ -actions, the last three relations are increasingly coarser. When all actions are visible, we have instead that *resource* and *weak resource* on one hand, and *strong, branching* and *weak bisimulation*, on the other, do collapse.

We now introduce a category of labelled trees, *Tree* and some of its properties. A single tree will be modelled by specifying what runs it has, what computations are performed along each run (its *extent*), and to what extent those computations agree (the *agreement*). Thus the tree



will be modelled by runs, x and y , labelled with ab and ac respectively, and by stating that x and y do not agree at all. In contrast, the tree



will be modelled by giving two runs, x and y , again labelled with ab and ac , but with agreement between x and y being the initial a .

Definition 2.3 Let $\mathcal{A} = (A^*, \leq, \wedge, \epsilon)$ be the meet semilattice where \leq is the prefix order of words; \wedge is the largest common prefix operation on words; and ϵ is the minimum.

Definition 2.4 A tree $\mathcal{X} = (X, \varepsilon, \alpha)$ comprises:

- a set X of runs;
- a map $\varepsilon : X \rightarrow A^*$, the extent map, giving the computation $\varepsilon(x)$ along a run x ;
- a map $\alpha : X \times X \rightarrow A^*$, establishing the agreement between pairs of computations.

Additionally, we require that for all $x, y, z \in X$

- $\alpha(x, x) = \varepsilon(x)$
- $\alpha(x, y) \leq \varepsilon(x) \wedge \varepsilon(y)$
- $\alpha(x, y) \wedge \alpha(y, z) \leq \alpha(x, z)$
- $\alpha(x, y) = \alpha(y, x)$

These amount to requiring that a run agrees with itself along all its length; the agreement between two runs is not bigger than their largest common prefix (runs are forced to agree on a common initial segment and they cannot join up again once split); the common agreement between x , y and z is not bigger than that between x and z ; agreement is symmetrical.

We will write \mathcal{X} , \mathcal{Y} , etc. for typical trees with components $\mathcal{X} = (X, \varepsilon, \alpha)$, $\mathcal{Y} = (Y, \zeta, \beta)$. We shall use $w' - w$ to denote the word obtained from w' by deleting the prefix w from w' .

Example 2.5 *The two trees illustrated above are specified by (X, ε, α) where $X = \{x, y\}$, and $\varepsilon(x) = ab$, $\varepsilon(y) = ac$, $\alpha(x, y) = \varepsilon$ and (Y, ζ, β) where $Y = \{x, y\}$, and $\zeta(x) = ab$, $\zeta(y) = ac$, $\beta(x, y) = a$, respectively.*

We can observe that A -labelled trees are symmetric \mathcal{A} -categories, when \mathcal{A} is thought of as a posetal 2-category [16]. Therefore the appropriate notion of comparison for trees is that of \mathcal{A} -functor, i.e.:

Definition 2.6 *A tree morphism $f : \mathcal{X} \rightarrow \mathcal{Y}$ is a map $f : X \rightarrow Y$ satisfying*

- i) f does not change the extent: $\zeta(f(x)) = \varepsilon(x)$;*
- ii) f increases the agreement: $\beta(f(x), f(y)) \geq \alpha(x, y)$.*

Tree will be the category of finite A -labelled trees. For A_τ -labelled trees, we use the semilattice $\mathcal{A}_\tau = (A_\tau^*, \leq, \wedge, \epsilon)$ exactly as before, and hence obtain the category Tree_τ with extent and agreement maps valued in \mathcal{A}_τ .

Definition 2.7 *Given two trees, \mathcal{X} and \mathcal{Y} , we can form the sequential composition of \mathcal{X} and \mathcal{Y} , $\mathcal{X} \otimes \mathcal{Y} = (Z, \eta, \gamma)$ as follows:*

- i) $Z = X \times Y$ (a run in $\mathcal{X} \otimes \mathcal{Y}$ is a run in \mathcal{X} followed by a run in \mathcal{Y});*
- ii) $\eta(x, y) = \varepsilon(x).\zeta(y)$, where $.$ is concatenation of strings (so the label of a run in $\mathcal{X} \otimes \mathcal{Y}$ is the label in \mathcal{X} followed by the label in \mathcal{Y});*
- iii) $\gamma((x, y), (x', y'))$ is $\alpha(x, x')$ if $x \neq x'$ and $\varepsilon(x)\beta(y, y')$ otherwise (so runs that are different in \mathcal{X} have their \mathcal{X} agreement, while runs that differ only in \mathcal{Y} have their \mathcal{X} agreement concatenated with their \mathcal{Y} agreement).*

Proposition 2.8 *Sequential composition defines the object part of an associative tensor product on Tree with unit $1 = (\{\bullet\}, \varepsilon(\bullet) = \epsilon, \alpha(\bullet, \bullet) = \epsilon)$. Tree has an initial object given by the empty tree, $0 = (\emptyset, \emptyset, \emptyset)$, and finite coproducts given by joining two trees at the root.*

Intuitively, it is clear that the trees introduced in the last sections can be

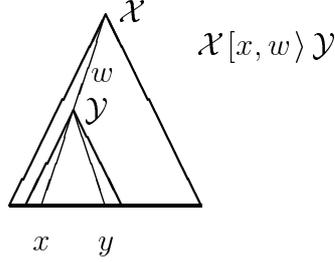
used to represent the unfoldings of finite transition systems. Here, we formally establish the correspondence and use it to motivate our equivalences and lift the bisimulations to trees.

Definition 2.9 *Let $\mathcal{X} = (X, \varepsilon, \alpha)$ be a tree. A prefix of a run in \mathcal{X} is a pair (x, w) consisting of a run $x \in X$ and a word $w \in A^*$ with $w \leq \varepsilon(x)$.*

A path in \mathcal{X} is an equivalence class $[x, w]$ of prefixes quotiented by
 $(x, w) \equiv (y, v) \quad \text{iff} \quad w = v \leq \alpha(x, y);$

The derivative reached along x after w , for a path $[x, w]$ in \mathcal{X} , is the tree (Y, ζ, β) where $Y = \{x' \mid x' \in X, \alpha(x, x') \geq w\}$; $\zeta(x') = \varepsilon(x') - w$; $\beta(x', x'') = \alpha(x', x'') - w$.

The figure below illustrates the terminology.



We will write $\text{paths}(\mathcal{X})$ for the paths of a tree \mathcal{X} and $\mathcal{X}[x, w) \mathcal{Y}$ if \mathcal{Y} is the derivative reached along x after w in \mathcal{X} . We will write $\mathcal{X}[x, v) \mathcal{Y}$ for the unique tree \mathcal{Y} such that $\mathcal{X}[x, v) \mathcal{Y}$.

Notice that in the definition above, we did not mention nodes explicitly: they are in an obvious bijective correspondence with paths. Sometimes, we will refer to paths as nodes.

Given a transition system \mathcal{S} with a finite unfolding, we now construct a tree $\text{unf}(\mathcal{S})$ representing that unfolding.

Definition 2.10 *The unfolding $\text{unf}(\mathcal{S}) = (\text{runs}(\mathcal{S}), \varepsilon_{\mathcal{S}}, \alpha_{\mathcal{S}})$ of a transition system $\mathcal{S} = (S, E, \rightarrow, s_0)$ is a tree given by*

- i) $\text{runs}(\mathcal{S}) = \{s_0 \mu_1 s_1 \mu_2 \dots \mu_n s_n \mid s_0 \xrightarrow{\mu_1} s_1 \xrightarrow{\mu_2} \dots \xrightarrow{\mu_n} s_n \not\rightarrow\}$;*
- ii) $\varepsilon_{\mathcal{S}}(s_0 \mu_1 s_1 \dots \mu_n s_n) = \mu_1 \dots \mu_n$;*
- iii) $\alpha_{\mathcal{S}}(s_0 \mu_1 s_1 \dots \mu_n s_n, s_0 \nu_1 u_1 \dots \nu_m u_m) = \mu_1 \dots \mu_l$ where for all $k \leq l$, $\nu_k = \mu_k$ and $s_k = u_k$, and $\mu_{l+1} \neq \nu_{l+1}$ or $s_{l+1} \neq u_{l+1}$.*

It is not difficult to see that, if we use $\text{unf}(\mathcal{S})$ to indicate the standard unfolding of a transition system \mathcal{S} and use $\text{tran}(\mathcal{X})$ to refer to the transition system associated to a tree \mathcal{X} defined as follows:

$$\text{tran}(\mathcal{X}) = (\text{paths}(\mathcal{X}), \text{im}(\varepsilon), \rightarrow, [x, \epsilon])$$

where $\text{im}(\varepsilon) = \{\mu \mid \mu \text{ appears somewhere in } \varepsilon(x), x \in X\}$ and $[x, w] \xrightarrow{\mu} [y, v]$ if and only if $(y, v) \in [x, w\mu]$, then we have: $\text{unf}(\mathcal{S}) \cong \text{tran}(\text{unf}(\mathcal{S}))$.

3 Bisimulation for Trees

We shall work directly on trees and provide a concrete definition of resource and strong equivalence directly over them. We will also consider weak resource equivalence, branching and weak equivalence. We will however prove that our definitions are in full agreement with the corresponding ones introduced in the previous section for labelled transition systems.

Definition 3.1 *Two trees, \mathcal{X} and \mathcal{Y} , are resource bisimilar, written $\mathcal{X} \approx_R \mathcal{Y}$ if and only if there exists a bijective function $f : X \rightarrow Y$ such that $\varepsilon(x) = \zeta(f(x))$ and $\forall w \leq \varepsilon(x)$, with $w \neq \epsilon$, $\mathcal{X}[x, w] \approx_R \mathcal{Y}[f(x), w]$.*

Proposition 3.2 *Two (finite) trees are resource bisimilar if and only if they are isomorphic.*

Proposition 3.3 *Two transition systems with finite unfoldings are resource bisimilar, $\mathcal{S} \approx_R \mathcal{S}'$, if and only if there is a resource bisimulation between their unfoldings as trees, i.e., if and only if $\text{unf}(\mathcal{S}) \approx_R \text{unf}(\mathcal{S}')$.*

Definition 3.4 *Two trees, \mathcal{X} and \mathcal{Y} , are strongly bisimilar, written $\mathcal{X} \approx_S \mathcal{Y}$ if and only if*

- i) $\forall x \in X \exists y \in Y : \varepsilon(x) = \zeta(y)$ and for all $w \leq \varepsilon(x)$, with $w \neq \epsilon$, $\mathcal{X}[x, w] \approx_S \mathcal{Y}[y, w]$.
- ii) $\forall y \in Y \exists x \in X : \varepsilon(x) = \zeta(y)$ and for all $w \leq \zeta(y)$, with $w \neq \epsilon$, $\mathcal{Y}[y, w] \approx_S \mathcal{X}[x, w]$.

Proposition 3.5 *Two transition systems with finite unfoldings are strongly bisimilar, $\mathcal{S} \approx_S \mathcal{S}'$, if and only if there is a strong bisimulation between their unfoldings as trees, i.e., if and only if $\text{unf}(\mathcal{S}) \approx_S \text{unf}(\mathcal{S}')$.*

We introduce a function, del , which deletes τ s, and transforms a tree with τ moves in Tree_τ into a tree in Tree obtained by ignoring all τ moves. Below, we overload notation, and call del the obvious deletion on words, $\text{del}(\epsilon) = \epsilon$ and $\text{del}(\mu w)$ as $\mu \text{del}(w)$ if $\mu \neq \tau$ and $\text{del}(w)$ otherwise. Please notice that images of glued runs in $\text{del}(\mathcal{X})$ are glued in $\text{del}(\mathcal{Y})$.

Definition 3.6 *Function $\text{del} : \text{Tree}_\tau \rightarrow \text{Tree}$ is defined as $\text{del}(X, \varepsilon, \alpha) = (Y, \zeta, \beta)$ where $Y = X$; $\zeta(x) = \text{del}(\varepsilon(x))$; $\beta(x, y) = \text{del}(\alpha(x, y))$.*

It can be immediately seen that del extends to a functor $\text{del} : \text{Tree}_\tau \rightarrow \text{Tree}$; indeed morphisms from \mathcal{X} to \mathcal{Y} induce morphisms from $\text{del}(\mathcal{X})$ to $\text{del}(\mathcal{Y})$.

Once we ignore τ s, a derivative is not uniquely determined by its access path any longer. To see this, examine Figure 1: the same run, x , leads to both the derivative $t + \tau t'$ and t' along $\text{del}(w)$ or $\text{del}(w\tau)$. Thus, in general, $(x, \text{del}(w))$ specifies the path to a set of derivatives.

It is important to notice that there is always a largest tree ($t + \tau t'$ here) to which $[x, \text{del}(w)]$ leads, and any other tree so accessed (like t') is a τ -summand of this one.

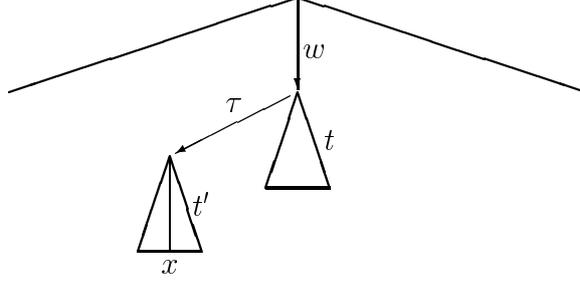


Fig. 1. Derivatives accessed along $[x, \text{del}(w)]$ in the presence of τ s.

Definition 3.7 Given a tree $\mathcal{X} = (X, \varepsilon, \alpha)$ in Tree_τ , a run $x \in X$, and a prefix $v \leq \text{del}(\varepsilon(x))$, let $w \in A_\tau^*$ be the shortest word such that $w \leq \varepsilon(x)$ and $\text{del}(w) = v$, $\mathcal{X}[x, w) \mathcal{Y}$.

(i) $\mathcal{R}_\tau(\mathcal{X}, x, v) = \{\mathcal{Z} \mid \mathcal{X}[x, w) \mathcal{Y}[x, \tau^n) \mathcal{Z}, n \geq 0\}$,
the family of derivatives reachable along x by v .

(ii) $\mathcal{R}(\mathcal{X}, x, v) = \{\text{del}(\mathcal{Z}) \mid \mathcal{X}[x, w) \mathcal{Y}[x, \tau^n) \mathcal{Z}, n \geq 0\}$,
the family of derivatives reachable along x by v but pruned using del .

Within this setting, we write $\mathcal{Z} \leq_+ \mathcal{Y}$ if \mathcal{Z} is a τ -summand of \mathcal{Y} , and note that $\mathcal{R}(\mathcal{X}, x, v)$ is linearly ordered by the relation induced by \leq_+ , that with abuse of notation we will write \leq_+ as well.

Definition 3.8 Two trees \mathcal{X} and \mathcal{Y} are weak resource bisimilar, written $\mathcal{X} \approx_{WR} \mathcal{Y}$, if and only if there exists a bijection $f : X \rightarrow Y$, such that $\text{del}(\varepsilon(x)) = \text{del}(\zeta(f(x)))$ and

- a) For all $v = \text{del}(w)$, $w \leq \varepsilon(x)$ and $w \neq \epsilon$, if $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ then there exists $t' \in \mathcal{R}_\tau(\mathcal{Y}, f(x), v)$ and $t \approx_{WR} t'$;
- b) for all $v = \text{del}(w)$, $w \leq \varepsilon(y)$ and $w \neq \epsilon$, if $t \in \mathcal{R}_\tau(\mathcal{X}, y, v)$ then there exists $t' \in \mathcal{R}_\tau(\mathcal{Y}, f(y), v)$ and $t \approx_{WR} t'$.

Proposition 3.9 Two transition systems are weak resource bisimilar, $\mathcal{S} \approx_{WR} \mathcal{S}'$, if and only if there is a weak resource bisimulation between their unfoldings as trees, i.e., if and only if $\text{unf}(\mathcal{S}) \approx_{WR} \text{unf}(\mathcal{S}')$.

Definition 3.10 Two trees \mathcal{X} and \mathcal{Y} are branching bisimilar, written $\mathcal{X} \approx_B \mathcal{Y}$, if and only if

- i. $\forall x \in X \exists y \in Y$ such that $\text{del}(\varepsilon(x)) = \text{del}(\zeta(y))$ and
 - a) for all $v = \text{del}(w)$, with $w \leq \varepsilon(x)$ and $w \neq \epsilon$, if $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ then there exists $t' \in \mathcal{R}_\tau(\mathcal{Y}, y, v)$ such that $t \approx_B t'$;
 - b) for all $v = \text{del}(w)$, with $w \leq \zeta(y)$ and $w \neq \epsilon$, if $t' \in \mathcal{R}_\tau(\mathcal{Y}, y, v)$ then there exists $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ such that $t \approx_B t'$.
- ii. $\forall y \in Y \exists x \in X$ such that $\text{del}(\varepsilon(x)) = \text{del}(\zeta(y))$ and
 - a) for all $v = \text{del}(w)$, with $w \leq \zeta(y)$ and $w \neq \epsilon$, if $t' \in \mathcal{R}_\tau(\mathcal{Y}, y, v)$ then there exists $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ such that $t \approx_B t'$;

b) for all $v = \text{del}(w)$, with $w \leq \varepsilon(x)$ and $w \neq \epsilon$, if $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ then there exists $t' \in \mathcal{R}_\tau(\mathcal{Y}, y, v)$ such that $t \approx_B t'$.

Proposition 3.11 *Two transition systems are branching bisimilar, $\mathcal{S} \approx_B \mathcal{S}'$, if and only if there is a branching bisimulation between their unfoldings as trees, i.e., if and only if $\text{unf}(\mathcal{S}) \approx_B \text{unf}(\mathcal{S}')$.*

Definition 3.12 *Two trees \mathcal{X} and \mathcal{Y} are weakly bisimilar, written $\mathcal{X} \approx_W \mathcal{Y}$, if and only if*

- i. $\forall x \in X \exists y \in Y$ such that $\text{del}(\varepsilon(x)) = \text{del}(\zeta(y))$ and
 - a) for all $v = \text{del}(w)$, with $w \leq \varepsilon(x)$ and $w \neq \epsilon$, if $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ then there exists $t' \in \mathcal{R}_\tau(\mathcal{Y}, y, v)$ such that $t \approx_W t'$.
- ii. $\forall y \in Y \exists x \in X$ such that $\text{del}(\varepsilon(x)) = \text{del}(\zeta(y))$ and
 - a) for all $v = \text{del}(w)$, with $w \leq \zeta(y)$ and $w \neq \epsilon$, if $t' \in \mathcal{R}_\tau(\mathcal{Y}, y, v)$ then there exists $t \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ such that $t \approx_W t'$.

Proposition 3.13 *Two transition systems are weakly bisimilar, $\mathcal{S} \approx_W \mathcal{S}'$, if and only if there is a weak bisimulation between their unfoldings as trees, i.e., if and only if $\text{unf}(\mathcal{S}) \approx_W \text{unf}(\mathcal{S}')$.*

It is interesting to note the essential difference between definitions 3.10 and 3.12 is one of symmetry: definition 3.12 is missing cases i.b and ii.b of definition 3.10. This will turn out to have important consequences; the symmetrical form of definition 3.10 means that we can define a branching bisimulation as an equivalence relation between runs (in the style of the ‘back-and-forth’ approach [7]), whereas no such definition will be possible for weak bisimulation.

4 Canonical Representatives for Bisimulation

In the previous section, we rephrased the definition of weak and branching bisimulation by only relying on τ -less structures. The information about silent step transitions is collected in what we called the family of derivatives reached along run x via label w , $\mathcal{R}(\mathcal{X}, x, w)$. In this section we will exploit this intuition, and the construction of standard representatives for strong equivalence classes of trees, to build standard representatives for branching equivalence classes of trees. We will show that, given a rigid (τ -less) tree whose nodes are labelled by \mathcal{R} -sets, it is possible to obtain a (non-rigid) ‘minimal’ tree that is branching equivalent to the original one. The same procedure will be used for weak resource equivalence, taking into account that, in that case, the corresponding ‘rigid’ equivalence is isomorphism. We remind the reader that we use \cong to denote tree isomorphism.

We begin by characterizing strong bisimulation via a canonical representative. The canonical representative for the \approx_S -equivalence class will be obtained by merging those runs that have the same extent and equivalent relationships with other runs in the same tree.

Definition 4.1 Let \equiv be the equivalence relation on runs defined by $x \equiv x'$ if and only if $\varepsilon(x) = \varepsilon(x')$ and for every $v \leq \varepsilon(x)$, $\mathcal{X}[x, v] \approx_S \mathcal{X}[x', v]$, and let $|x|$ denote the \equiv -equivalence class of x . The canonical S-reduction of a tree $\mathcal{X} = (X, \varepsilon, \alpha)$, $S\mathcal{X}$, is the tree (Y, ζ, β) where

- i) $Y = \{|x| \mid x \in X\}$;
- ii) $\zeta(|x|) = \varepsilon(x)$;
- iii) $\beta(|x|, |y|) = \max\{\alpha(x', y') \mid x' \in |x|, y' \in |y|\}$.

It is worth noticing that the maximum above exists. Indeed, $x \equiv x'$ implies $\varepsilon(x) = \varepsilon(x')$; hence $\alpha(x', y)$ for $x' \in |x|$ is always a prefix of $\varepsilon(x)$. Thus $\alpha(x', y)$, as x' varies, is linearly ordered.

We approach the proof that $S\mathcal{X}$ is the canonical representative of the \approx_S -equivalence class of \mathcal{X} (and hence that strong equivalence coincides with \approx_S) by showing that \mathcal{X} and $S\mathcal{X}$ have the same transitions:

Lemma 4.2 \mathcal{X} and $S\mathcal{X}$ can perform the same labelled transitions:

- i) if $\mathcal{X}[x, w] \mathcal{Y}$ then $(S\mathcal{X})[|x|, w] (S\mathcal{Y})$;
- ii) if $(S\mathcal{X})[|x|, w] \mathcal{Z}$ and $\mathcal{X}[x, w] \mathcal{Y}$, then $S\mathcal{Y} \cong \mathcal{Z}$.

Theorem 4.3 Given trees, \mathcal{X} and \mathcal{Y} , we have $S\mathcal{X} \cong S\mathcal{Y}$ if and only if $\mathcal{X} \approx_S \mathcal{Y}$.

In order to define a canonical representative for equivalences involving silent moves, we need a procedure of reconstruction of a non rigid tree starting from data given in terms of rigid trees. In the appendix we report an example reconstruction, here we provide a general procedure that given a collection of (x, v) -indexed families of trees, under some conditions on the collection, yields a reconstructed tree.

Definition 4.4 Fix a tree \mathcal{X} in *Tree* and suppose that a finite collection $\mathcal{R}(x, v)$ of sets of trees is given, one set for each pair (x, v) , $x \in X$, $v \leq \varepsilon(x)$. We will call this collection \mathcal{X} -reconstructible if it satisfies the following conditions:

- i) $(\mathcal{R}(x, v), \leq_+)$ is a finite chain, $R(x, v)_i \leq_+ R(x, v)_{i-1} \leq_+ \dots \leq_+ R(x, v)_1$, in *Tree*;
- ii) There exists a surjective morphism (epimorphism) $f_{x,v}$ from $\mathcal{X}[x, v]$ to the maximal element in the chain $R(x, v)_1$ and for every i , $f_{x,v}(x) \in R(x, v)_i$;
- iii) If $f_{x,v}(y) \in R(x, v)_i$ then $\mathcal{R}(x, s) = \mathcal{R}(y, s)$ for all $s < v$, and, if $s = v$, for all $j \leq i$ $R(x, s)_j = R(y, s)_j$, furthermore, for all $s \leq v$, $f_{x,s}$ and $f_{y,s}$ coincide on common domains.

Condition i) means that the derivative after v along x is considered as standing for i different states that are bigger and bigger, but ii) guarantees that the biggest of such states is covered by the original one; iii) deals with coherence of the derivatives associated with each run.

The properties of reconstructible families are sufficient to ensure a well-behaved reconstruction.

Definition 4.5 Given a tree \mathcal{X} in $Tree$, consider a \mathcal{X} -reconstructible family $\mathcal{R}(x, v)$, the reconstruction $\int \mathcal{X}, \mathcal{R}(x, v) = (\mathcal{X}', \varepsilon', \alpha')$ of \mathcal{X} is given by:

- i) $\mathcal{X}' = \mathcal{X}$;
- ii) $\varepsilon'(x) = \tau^{i_1} a_1 \tau^{i_2} a_2 \dots \tau^{i_n} a_n \tau^{i_{n+1}}$, given $\varepsilon(x) = a_1 a_2 \dots a_n$ and $i_k = |\mathcal{R}(x, a_1 \dots a_{k-1})| - 1$ for $1 \leq k \leq n + 1$;
- iii) $\alpha'(x, y) = \tau^{i_1} a_1 \tau^{i_2} a_2 \dots \tau^{i_m} a_m \tau^{i_{m+1}}$ where $\alpha(x, y) = a_1 a_2 \dots a_m$, for $1 \leq k \leq m$, $i_k = |\mathcal{R}(x, a_1 \dots a_{k-1})| - 1$ and $i_{m+1} = |\mathcal{R}(\mathcal{X}, x, a_1 a_2 \dots a_m) \cap \mathcal{R}(y, a_1 a_2 \dots a_m)| - 1$.

Proposition 4.6 Let $\int \mathcal{X}, \mathcal{R}(x, v)$ be as in definition 4.5, then it is a tree in $Tree_\tau$.

Lemma 4.7 Given a tree \mathcal{X} in $Tree$, let w be a word different from τ . There is an epimorphism between $del(\int \mathcal{X}, \mathcal{R}(x, v) [x, w\tau^h])$ and $\mathcal{R}(x, del(w))_{h+1}$.

Proposition 4.8

- i) There is a bijection between $\mathcal{R}_\tau(\int \mathcal{X}, \mathcal{R}(x, v))$ and $\mathcal{R}(x, v)$.
- ii) $\int(\mathcal{X}[x', w]), \mathcal{R}(x, v) = (\int \mathcal{X}, \mathcal{R}(x, v)) [x', w]$.

Let us now consider reconstruction in the cases of interest for us. We will start with a tree in $Tree_\tau$, we apply deletion on it and reconstruct it with families obtained from the original tree. Two kinds of families will be considered, to obtain trees that are weakly resource and branching bisimilar to the original tree. As in the general case (Definition 4.5), reconstruction will be carried run by run and two trees will be identified only if they are isomorphic and reachable along the same run.

Theorem 4.9 Given a tree \mathcal{X} in $Tree_\tau$, let us consider two $del(\mathcal{X})$ -reconstructible families:

- i) $\mathcal{R}_1(x, v) = \mathcal{R}(\mathcal{X}, x, v)$, epimorphisms are given by identity;
- ii) $\mathcal{R}_2(x, v) = S(\mathcal{R}(\mathcal{X}, x, v))$, epimorphisms are functions induced by S .

Then

- i) $\mathcal{X} \approx_{WR} \int \mathcal{X}, \mathcal{R}_1(x, v)$ and
- ii) $\mathcal{X} \approx_B \int \mathcal{X}, \mathcal{R}_2(x, v) \approx_B S \int \mathcal{X}, \mathcal{R}_2(x, v)$.

Definition 4.10

- i) The canonical WR-reduction of a tree $\mathcal{X} = (X, \varepsilon, \alpha)$ in $Tree_\tau$, written $WR\mathcal{X}$, is $\int \mathcal{X}, \mathcal{R}_1(x, v)$.
- ii) The canonical B-reduction of a tree $\mathcal{X} = (X, \varepsilon, \alpha)$ in $Tree_\tau$, written $B\mathcal{X}$, is $S \int \mathcal{X}, \mathcal{R}_2(x, v)$.

Lemma 4.11 *Given a tree \mathcal{X} in Tree_τ , two derivatives $t, t' \in \mathcal{R}_\tau(\mathcal{X}, x, v)$ are*

- i) weak resource bisimilar if and only if $\text{del}(t) = \text{del}(t')$;*
- ii) branching bisimilar if and only if $\text{Sdel}(t) \cong \text{Sdel}(t')$.*

Theorem 4.12 *If \mathcal{X} and \mathcal{Y} are two trees, then we have*

- i) $\mathcal{X} \approx_{WR} \mathcal{Y}$ if and only if $WR\mathcal{X} \cong WR\mathcal{Y}$.*
- ii) $\mathcal{X} \approx_B \mathcal{Y}$ if and only if $B\mathcal{X} \cong B\mathcal{Y}$.*

Due to theorem 4.12 $WR\mathcal{X}$ can be thought of as the minimal weak resource representative for \mathcal{X} , while $B\mathcal{X}$ as its minimal branching representative.

We have not been able to provide a standard minimal representation for weak bisimulation by following the pattern of the construction for the other two weak equivalences. The reason for this idiosyncrasy is the impossibility of building the standard representation via quotienting: weak bisimulation does not enforce a direct correspondence between the *runs* of equivalent trees, and hence we cannot build a canonical representative as a quotient over the set of runs of a tree. To see this, consider the two weakly equivalent trees corresponding to the two terms

$$a(\tau b + c) + ab + a(\tau b + d) \quad \text{and} \quad a(\tau b + c) + a(\tau b + d).$$

Now the tree corresponding to the second term is a good candidate for a minimal standard representative. However, the composition of the equivalence class of runs is unclear: the run ab of the first tree can either be absorbed by $a\tau b$ in the first or the third summands, and there is clearly no reason to prefer one choice over the other. Moreover, we cannot put it in both equivalence classes, for that would leave us with $a(\tau b + c + d)$ as the representative, and this is not bisimilar to the original.

5 An Enriched–Categorical Account

In this section we rephrase our account using more explicitly categorical machinery. We will show that the construction of minimal representative is "functorial" w.r.t. tree structure in all the cases. Furthermore a characterization of the resulting functors is given, that emphasizes the fact that the only difference between the resource-weak resource cases and strong-branching cases is the difference between the existence of a bijective function and the existence of relation epimorphic on both sides.

The notion that \mathcal{Y} is a derivative of \mathcal{X} accessed by a word w along a run x , $\mathcal{X}[x, w] \mathcal{Y}$, naturally leads to a notion of map between trees different from our morphism. Clearly we could define the set of maps between \mathcal{X} and \mathcal{Y} , as

$$\{[x, w] \mid \mathcal{X}[x, w] \mathcal{Y}\}$$

pair of objects, A, B , the induced function $F_{A,B}$ from $\mathcal{C}[A, B]$ to $\mathcal{C}[FA, FB]$ is an epimorphism. Fullness condition in our case would amount to asking that all paths from FA to FB arise via paths from A to B . This is both too naïve and too demanding.

We want to require that all paths from Ft to any u are obtained via some u_i such that $Fu_i \cong u$; this is the notion that will allow us to capture functors like ours.

Definition 5.4 *A \mathcal{V} -functor $F : \mathcal{C} \rightarrow \mathcal{C}$ is said to be hereditarily full if and only if for any objects A, B of \mathcal{C} , there exists a family $\{B_i\}$ such that $FB_i \cong B$ and $\{F_{A,B_i}\}$ covers $\mathcal{C}[FA, B]$.*

Proposition 5.5

- i) $F_S : Der \rightarrow Der$ is an hereditarily full Tree-functor.*
- ii) $F_{WR} : Der_\tau \rightarrow Der_\tau$ is an hereditarily full Tree-functor preserving 1 and sums.*
- iii) $F_B : Der_\tau \rightarrow Der_\tau$ is an hereditarily full Tree-functor.*

The only trees in $Tree$ that have none but trivial derivatives are finite, nonempty, sums of 1s. Let us call them *quasi terminals*. If $F(t)$ is a quasi terminal, so is t . If F is hereditarily full, one has the viceversa, i.e., quasi terminals are preserved as a class.

Naturally, identity (the Tree-functor $Der \rightarrow Der$ induced by resource bisimulation) is hereditarily full. It is the only one, up to isomorphism, enjoying this property and preserving 1 and sums, hence preserving quasi terminals as individuals. This fact can be easily proved by induction on the depth of the tree. Next theorem will show that the other Tree-functors considered in this paper enjoy a similar feature, because they are in some sense universal with respect to the class of Tree-functors with the same properties. The statement corresponds to the minimality of the canonical representative.

Theorem 5.6 *i) For all hereditarily full Tree-functors $F : Der \rightarrow Der$, $F_S F \cong F_S$.*

ii) For all hereditarily full, preserving 1 and sums, Tree-functors $F : Der_\tau \rightarrow Der_\tau$, $F_{WR} F \cong F_{WR}$.

iii) For all hereditarily full Tree-functors $F : Der_\tau \rightarrow Der_\tau$, $F_B F \cong F_B$.

A direct consequence of this theorem is that all hereditarily full Tree-functors preserving 1 and sums, preserve weak resource bisimulation equivalence, while all hereditarily full Tree-functors preserve branching bisimulation equivalence.

6 Conclusions

We have studied labelled trees as unfoldings of transitions systems and characterized different bisimulations as special functors between categories of trees, enjoying universal properties. We have thus devised criteria for comparing and assessing different equivalences: branching bisimulation appears as the natural generalization of strong bisimulation just like weak resource bisimulation is the natural generalization of isomorphism of trees.

The definition of the functors has required, as an intermediate step, the construction of a canonical representative of the considered equivalence classes. The construction of canonical representatives for weak bisimulation equivalence turned out to be problematic; we could not define a quotient that preserved the structure of the runs.

Our approach to bisimulations characterizations is related to that introduced in [11] and used in [1], only they start from a different view of the same "topological" structure. Our trees have originally been defined as categories enriched over a locally-posetal 2-category \mathcal{A} namely that associated with the free monoid A^* [12]. Similarly, morphisms between trees are \mathcal{A} -functors. It is well known that our trees, as categories enriched on a posetal 2-category, can be thought as presentations of sheaves on the topology where elements of A^* constitute a base. To obtain the corresponding sheaves we would roughly need to complete runs with all their prefixes. To recover the approach followed in [11], elements of A^* could be considered as a subcategory P of paths in Tree and we could characterize strong and branching bisimulation as in [11] via spans of P -open maps. The Tree -functoriality corresponds to preservation of "path logic", but our construction, provides also minimal representatives that cannot be obtained via spans. As in our case, characterization of weak equivalence in [11] is problematic, see [1]; it requires introducing an "ad hoc" selection of morphisms or a weakening of the logic to be preserved. This weaker characterization is not reproducible in our context that is more demanding on structural properties.

The two new equivalences that we have considered, and that are not considered in the above mentioned papers, have proved very useful. *Resource bisimulation* has been used to obtain a complete axiomatization of a tree-based interpretation of regular expressions [6] and to provide alternative operational semantics of process algebras [2]. *Weak resource bisimulation* can be fully axiomatized by simply adding to the axioms for resource bisimulation the following law:

$$\alpha; \tau; X = \alpha; X$$

that essentially says that all and only the "irrelevant" τ s are ignored.

Besides this line of investigation, let us mention two promising topics for further work. In this paper we have only considered action-labelled finite trees. There are two obvious generalizations.

Firstly, like it has been done for the open-maps approach, we could ex-

port our characterizations to different semantics, those that admit an enriched categorical presentation, i.e., we could consider richer labels that would enable us to rely on the same bisimulations also for non-interleaving models of concurrency [17] and capture, e.g., causal dependence, maximal concurrency, locality-based properties in the same vein of [4,5]. Secondly, we could consider finite state transition systems with cycles (and hence infinite unfoldings).

However, while the generalization to richer labels is direct, the adjustments needed for dealing with infinity are not minor. Indeed, a key point of our approach is that unfoldings of systems are described as sets of runs from an initial to a final state. Now, while in the case of finite LTSs we immediately have final states, in the cyclic case, we would need to single out specific states as final and ensure that all of them are equivalent. One possibility is to ‘massage’ systems to include sink states in correspondence with each final state, for instance via the (standard automata-theoretic) construction of introducing epsilon moves. The set of runs of an LTS would then be the set of all finite runs with the obvious labeling; the agreement of any two runs would be the string associated with their initial common run. A run x is considered an approximation of a run y whenever $\alpha(x, y) = \varepsilon(x) < \varepsilon(y)$.

But this will be the subject of future research.

7 APPENDIX: Rebuilding Trees

In this appendix we provide an example of the reconstruction procedure formally defined in Section 4.

First of all, we show how to obtain decorated rigid trees from those with silent actions.

In Figure 3 we have represented the tree \mathcal{X} and the tree $\text{del}(\mathcal{X})$ obtained by deleting all silent moves from \mathcal{X} . For the sake of readability, we name y_i the runs of $\text{del}(\mathcal{X})$ corresponding to the x_i of \mathcal{X} .

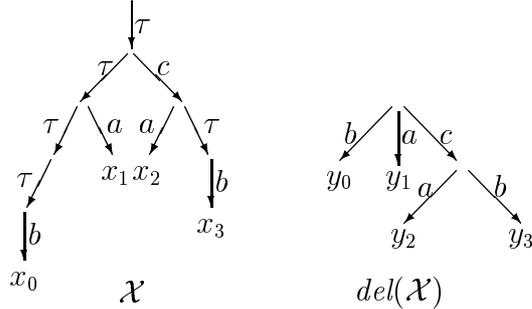


Fig. 3. A tree \mathcal{X} in Tree_τ and its deletion.

The first step of our reconstruction consists of decorating each node $[y_i, u]$ in $\text{del}(\mathcal{X})$ with the derivatives in $\mathcal{R}(\mathcal{X}, x_i, v)$. For instance, we decorate the nodes of $\text{del}(\mathcal{X})$ in this way:

- i) the root is decorated with four sets of derivatives, one for each y_i ,

$$\mathcal{R}(\mathcal{X}, x_0, \epsilon) = \{b + a + c(a + b), b + a, b\}$$

$$\mathcal{R}(\mathcal{X}, x_1, \epsilon) = \{b + a + c(a + b), b + a\}$$

$$\mathcal{R}(\mathcal{X}, x_2, \epsilon) = \{b + a + c(a + b)\}$$

$$\mathcal{R}(\mathcal{X}, x_3, \epsilon) = \{b + a + c(a + b)\}$$

ii) the leaf node of the y_0 branch, $[y_0, b]$, is decorated with:

$$\mathcal{R}(\mathcal{X}, x_0, b) = \{1\}$$

iii) the leaf node of the y_1 branch is decorated with:

$$\mathcal{R}(\mathcal{X}, x_1, a) = \{1\}$$

iv) the node $[y_2, c] = [y_3, c]$ is decorated with:

$$\mathcal{R}(\mathcal{X}, x_2, c) = \{a + b\}$$

$$\mathcal{R}(\mathcal{X}, x_3, c) = \{a + b, b\}.$$

v) the leaf node of the y_2 branch is decorated with:

$$\mathcal{R}(\mathcal{X}, x_2, ca) = \{1\}$$

vi) the leaf node of the y_3 branch is decorated with:

$$\mathcal{R}(\mathcal{X}, x_3, cb) = \{1\}$$

The reconstruction of a tree in Tree_τ from the decorated version of $\text{del}(\mathcal{X})$ proceeds along the following lines.

Given the set of runs x_0, x_1, x_2, x_3 , first, their new extent is defined. To do this we rely on the fact that each tree in $\mathcal{R}(\mathcal{X}, x, wa_i)$ represents a derivative accessible by a $\xrightarrow{a_i}$ -step from $[x, w]$, and reconstruct the extent by introducing after each a_i a number of τ s equal to $|\mathcal{R}(\mathcal{X}, x, wa_i)| - 1$ in order to guarantee the necessary branching points. To see this, consider Figure 4, where it is assumed that:

$$\mathcal{R}(\mathcal{X}, z_1, \text{del}(wa)) = \{t_4 + t_3 + t_2 + t_1, t_3 + t_2 + t_1, t_2 + t_1, t_1\}$$

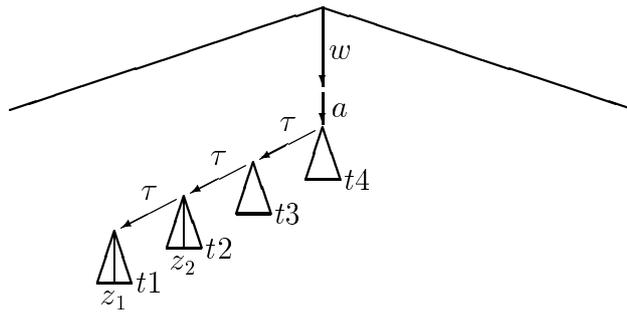


Fig. 4. A step in the reconstruction.

In our specific example the suggested construction amounts to defining:

$$\varepsilon(x_0) = \tau^2 b, \quad \varepsilon(x_1) = \tau a, \quad \varepsilon(x_2) = ca, \quad \varepsilon(x_3) = c\tau b$$

The agreement between two given runs is then obtained again by adding after each a_i a number of τ s equal to

$$|\mathcal{R}(\mathcal{X}, x_i, wa_i) \cap \mathcal{R}(\mathcal{X}, x_j, wa_i)| - 1$$

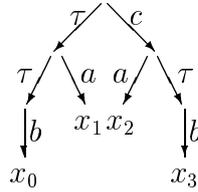


Fig. 5. The reconstructed tree: $\int \mathcal{R}(\mathcal{X}, x, v)$.

Thus, the complete reconstruction of the tree of Figure 3, which will be written $\int \mathcal{R}(\mathcal{X}, x, v)$, is shown in Figure 5. The reader may like to check that the reconstruction is weak resource bisimilar to the original tree.

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