# Persistence and Uncertainty in the Academic Career

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Recent shifts in the business structure of universities and a bottleneck in the supply of tenure track positions are two issues that threaten to change the longstanding patronage system in academia. Understanding how institutional changes within academia may affect the overall potential of science requires a better quantitative understanding of how careers evolve over time. Since knowledge spillovers, cumulative advantage, and collaboration are distinctive features of the academic profession, the employment relationship should be designed to account for these factors. We quantify the impact of these factors in the production  $n_i(t)$  of a given scientist i by analyzing the longitudinal career data of 300 scientists and compare our results with 21,156 sports careers comprising a non-academic labor force. The increase in the typical size of scientific collaborations has led to the increasingly difficult task of allocating funding and assigning recognition. We use measures of the scientific collaboration radius, which can change dramatically over the course of a career, to provide insight into the role of collaboration in production efficiency. We introduce a model of proportional growth to provide insight into the complex relation between knowledge spillovers, competition, and uncertainty at the individual scale. Our model shows that high competition levels can make careers vulnerable to "sudden death" termination relatively early in the career as a result of negative production fluctuations and not necessarily due to lack of individual persistence.

An ongoing debate involving academics, university administration, and educational policy makers concerns the definition of professorship and the case for lifetime tenure, as changes in the economics of university growth have now placed tenure under the review process [1, 2]. Critics of tenure argue that tenure places too much financial risk burden on the modern competitive research university and diminishes the ability to adapt to shifting economic, employment, and scientific markets. To counter this, in the last thirty years universities have shifted away from tenure at all levels of academia towards meeting staff needs with part-time and non-tenure track positions [1].

The institution of science faces several pending challenges [3]. Among them are labor supply-demand issues [4] and a lingering policy shift away from long-term contracts in science, which together could significantly alter the longstanding patronage system in academia [5]. For employment relations designed around short-term contracts, there is an implicit expectation of sustained annual production that effectively discounts the cumulative achievements of the individual. In addition to the risk associated with an uncertain employment horizon, shortterm contracts may reduce the incentives for a scientist to invest in concurrent collaborations and knowledge production since it becomes less likely that in the future she can fully benefit from the reputation and production spillovers that she contributed to generate. Also, as the typical size of scientific collaborations increases [6], the allocation of funding and the association of recognition at the varying scales of science (individual  $\leftrightarrows$  group  $\leftrightarrows$ institution) will become increasingly complex [7], with

scientific achievement becoming increasingly dominated by reputation tournaments in omnipresent competition arenas [8].

Here we focus on the dynamics of the career trajectory [9, 10] with the goal of understanding how academic employment policy can impact the career potential of scientists and providing insight into the statistical patterns that quantify cumulative career achievement [11–14]. We balance this longitudinal study of labor dynamics by analyzing empirical panel data for an academic labor force and a non-academic labor force. For intellectual labour we analyze academic careers and define the career trajectory  $n_i(t)$  as the number of papers published by scientist i in year t of his/her career. For non-intellectual labor, we analyze the careers of professional athletes and define  $n_i(t)$  using in-game opportunity and success measures. While these two professions both display a high level of competition for employment, they differ in their employment term structure and salary scale. In the case of academia, the tenure system rewards high performance levels with nearly risk-free lifelong employment. In contrast, professional sports is characterized by relatively short contracts that emphasize continued performance and exploit the high levels of athletic prowess in a player's peak years. We develop a stochastic model which shows that excessive emphasis on uninterrupted production results in a significant number of "sudden death" careers that terminate due to unavoidable negative production shocks. Altogether, our results indicate that short-term contracts may increase the strength of "rich-get-richer" mechanisms in competitive professions and hinder the upward mobility of young scientists.

#### I. LONGITUDINAL CAREER DATA

We analyze the longitudinal publication rate  $n_i(t)$  on the 1-year time scale for 300 physicists i = 1...300 who are distributed into 3 groups: (a) Group A corresponds to the 100 most cited physicists with average h-index  $\langle h \rangle = 61 \pm 21$ , (b) Group B corresponds to 100 additional highly-cited physicists with  $\langle h \rangle = 44 \pm 15$ , and (c) Group C corresponds to 100 current assistant professors in 50 U.S. physics departments with  $\langle h \rangle = 15 \pm 7$ . We focus on academic careers from the physics community to approximately control for significant cross-disciplinary production variations. A companion study analyzes the rank-citation distribution of each scientist with a focus on the statistical regularities in the measures for career impact [12]. As a comparative non-academic labor force, we analyze comprehensive career data for 21,156 professional athletes in two prestigious American sports leagues: all Major League Baseball (MLB) careers during the 90-year period 1920–2009 and all National Basketball Association (NBA) careers during the 63-year period 1946–2008. We provide further description of the data in the SI appendix.

### II. METHODS

We model the career as an aggregation of outputs which arrive at the variable rate  $n_i(t)$ . Since the reputation of a scientist is typically a cumulative representation of his/her contributions, we consider the cumulative production  $N_i(t) \equiv \sum_{t'=1}^t n_i(t')$  as a proxy for career achievement. Fig. 1 shows the cumulative production  $N_i(t)$  of six notable careers which display a scaling relation  $N_i(t) \approx A_i t^{\alpha_i}$ . However, there are also cases of  $N_i(t)$ , see Fig. S1, which do not exhibit such regularity, instead displaying marked non-stationarity and nonlinearity arising from significant exogenous career shocks that reflect the possibility of significant productivity and reputation growth following from just a single discovery [15]. We justify this 2-parameter model in the Supporting Information Appendix (SI) text using scaling methods and data collapse (see S2 and S3). Most  $N_i(t)$  analyzed here can be modeled by this common functional form describing the rise of the career trajectory; we acknowledge that the end of the career is a difficult phase to analyze, since this phase can occur quite abruptly, and so our analysis is mainly concerned with the growth phase and not the termination phase. Careers with  $\alpha_i \approx 1$  have relatively constant  $n_i(t)$ , whereas careers with  $\alpha_i > 1$  show accelerated growth which reflects the benefits of learning and collaboration spillovers which constitute a portion of the cumulative advantage held by experienced and reputable individuals [11]. Fig. S4 shows the distribution  $P(\alpha_i)$  with average exponent  $\langle \alpha \rangle > 1$ . For each dataset, we calculate  $\langle \alpha_i \rangle = 1.42 \pm 0.29$  [A],  $1.44 \pm 0.26$  [B], and  $1.30 \pm 0.31$  [C].

Individuals are constantly entering and exiting the professional market, with birth and death rates depending on complex economic and institutional factors. Due to the high level of competition and risk, early carer performance has long lasting consequences [11, 16]. By analyzing the careers that survive the highly competitive entry and turnover process, we search for statistical patterns that can give insight into the relative roles of persistence and career shocks in the growth of careers. To better understand career uncertainty portrayed by the common saying "publish or perish" [17], we analyze the outcome fluctuation

$$r_i(t) \equiv n_i(t) - n_i(t - \Delta t) \tag{1}$$

of career i in year t over the time interval  $\Delta t = 1$  year. Output fluctuations arise naturally from the lulls and bursts in both the mental and physical capabilities of humans [18, 19].

We define for each scientific career the normalized production change

$$r_i'(t) \equiv [r_i(t) - \langle r_i \rangle] / \sigma_i(r) ,$$
 (2)

which is measured in units of a fluctuation scale  $\sigma_i(r)$ that is unique to each individual. We calculate the average  $\langle r_i \rangle$  and standard deviation  $\sigma_i(r)$  using the first  $L_i$  available years for each scientist i.  $r'_i(t)$  is a better measure for comparing career uncertainty, since individuals have production factors that depend on the type of research, the size of the collaboration team, and the position within the team. Figs. S5 and S6 show that the distribution P(r') is well approximated by a Gaussian distribution, consistent with a proportional growth model. In academics, the production of scientific publications depends on many factors, such as cumulative advantage [11, 14, 20], which is an external institutional mechanism, and the "sacred spark," which is an internal effect that represents an individual's ambitious internal drive for success [15, 21], and the transfer of knowledge which resembles the number of social contact processes that pervade our techno-social world [22]. An example of emergent complexity, a recent case study on the impact trajectories of nobel prize winners shows that "scientific career shocks" marked by the publication of an individual's "magnum opus" work(s) can trigger future recognition and reward, resembling the cascading dynamics of earthquakes [23].

# III. RESULTS

# A. The balance of persistence and uncertainty

The ability to collaborate on large projects, both in close working teams and also as remote agents (i.e. Wikipedia [24]) is a one of the foremost properties of human society. In science, collaboration dynamics [25] are a main contributor to the fluctuations in career growth. The ability to attract future opportunities is strongly related to production spillovers and knowledge spillovers

[26–28] that are mediated by the collaboration network [6, 7, 29–31]. One reason to collaborate is the credibility signal associated with working with a leading scientists, which can increase an individual's reputation above the track record of accomplishment [5]. Another reason, closely related to the case for long-term employment, comes from increasing returns on investment associated with knowledge intensive activities, since it is over time and through the scientific network that an individual benefits from the spillovers she generates that can further accelerate her career trajectory. In this sense, there is a tipping point in a scientific career that occurs when (i) a scientist becomes an attractor (as opposed to a pursuer) of new collaboration-production opportunities and (ii) the knowledge investment reaches a critical mass that can sustain production over a long horizon. To account for production spillover via collaboration, we calculate for each author the number  $k_i(t)$  of distinct coauthors per year and relate this fundamental input factor to the annual output  $n_i(t)$ .

Fig. 2(a) shows the relation between the average annual production  $\langle n_i \rangle$  and the median number of coauthors  $S_i \equiv Med[k_i]$  used here as a proxy for the collaboration radius  $S_i$  of a given scientific career. This measure is more statistically stable than the average  $k_i(t)$  because there can be extremely large outlier  $k_i(t)$  values in high-energy and astronomy collaborations. We observe a strong input-output efficiency relation  $\langle n_i \rangle \sim S_i^{\psi}$  with  $\psi = 0.74 \pm 0.04$  for the dataset [A] scientists. Next we calculate the relation between  $S_i$  and the characteristic fluctuation scale  $\sigma_i(r)$  of a scientist's annual output. If the sequential production values  $n_i(t)$  and  $n_i(t+1)$  are independent then we expect the scaling exponents calculated for  $\langle n_i \rangle$  and  $\sigma_i(r)$  to be approximately equal. This result follows from considering  $r_i(t)$  as the convolution of an underlying production distribution  $P_i(n)$  for each scientist that is approximately stable.

In Fig. 2(b) we test the scaling relation

$$\sigma_i^2(r) \approx V S_i^{\psi}$$
 (3)

which also provides insight into how the output fluctuations (a proxy for career uncertainty) is related to the collaboration radius. We calculate the scaling exponents  $\psi/2 \approx 0.40 \pm 0.03$  (R=0.77) for dataset [A],  $\psi/2 \approx 0.22 \pm 0.04$  (R=0.51) [B], and  $\psi/2 \approx 0.26 \pm 0.05$  (R=0.45) [C]. The larger  $\psi$  value for dataset [A] scientists suggests that the increasing returns to scale  $\alpha>1$  for these prolific scientists may be largely due to a relatively high collaboration efficiency. See the SI Appendix text for further discussion of professional sports careers.

# B. Scientific productivity and the collaboration radius

The values of  $\psi$  for scientific and athletic careers follow from the different combination of physical and intellectual inputs that enter the production function for the two distinct professions. A cademic knowledge is typically a non-rival good, and so knowledge-intensive professions are characterized by spillovers, both over time and across collaborations [27, 28]. These properties are consistent with our empirical observations that  $\alpha_i>1$  and  $\psi>0$ . Interestingly, Azoulay et al. show evidence for production spillovers in the 5–8% decrease in output by scientists who were close collaborators with a "superstar" scientists who died suddenly [26]. Also, the fact that the premier dataset [A] scientists have on average larger  $\psi$  efficiency values is consistent with increasing returns with prestige in the scientific production function [32]. In contrast, more labour-intensive activities are likely to experience smaller returns since physical labor is non-cumulative with less spillover through time.

We shift to a micro-level view of production by relating the number of publications  $n_i(t)$  in a given year to the number of distinct coauthors  $k_i(t)$  involved over the same year. We use a single-factor production function

$$n_i(t) \approx q_i [k_i(t)]^{\gamma_i} \tag{4}$$

to quantify the relation between output and labor inputs with a scaling exponent  $\gamma_i$ . We estimate  $q_i$  and  $\gamma_i$  for each author using ordinary least-squares regression, and define the normalized output measure  $Q_i \equiv n_i(t)/q_i k_i(t)^{\gamma_i}$  using the best-fit  $q_i$  and  $\gamma_i$  values calculated for each scientist i. Fig. 2(c) shows the efficiency parameter  $\gamma$  calculated by aggregating all careers in each dataset, and indicates that this aggregate  $\gamma$  is approximately equal to the average  $\langle \gamma_i \rangle$  calculated from the  $\gamma_i$  values in each career dataset:  $\gamma = 0.68 \pm 0.01$  [A],  $\gamma = 0.52 \pm 0.01$  [B], and  $\gamma = 0.51 \pm 0.02$  [C]. Furthermore, the  $\psi \approx \gamma$  since the size-variance scaling parameter  $\psi$  is also an efficiency measure that relates the scaling of output n to input k.

Since collaboration manifests in the complex scientific coauthorship network [6, 25, 29–31], we ask the question: what is the typical scientific collaboration radius at the annual timescale? For individuals in our scientific panel data, Fig. S10 shows that the number of distinct coauthors per year  $S_i$  is exponentially distributed,  $P(S) \sim \exp[-\lambda S]$ . An exponential size distribution has been shown to emerge in complex systems where preferential attachment mechanisms govern the acquisition of new opportunities [34]. This serves as motivation for the preferential capture model that we propose in the following section. Consistent with an exponential P(S), we test and verify that the non-Gaussian unconditional distribution P(r) in Fig. 3 follows from the exponential mixing of conditional Gaussians distributions  $P_i(r|S)$  with varying fluctuation scales  $\sigma_i(r)$  [35].

## C. Preferential Capture Model for Career Growth

We develop a stochastic model as a heuristic tool to better understand the effects of long-term versus shortterm contracts. In this competition model, opportunities are allocated according to a general preferential capture mechanism whereby the capture rate  $\mathcal{P}_i(t)$  depends on the appraisal  $w_i(t)$  of an individual's record of achievement over a prescribed history. We define the appraisal to be an exponentially weighted average over a given individual's history of production

$$w_i(t) \equiv \sum_{\Delta t=1}^{t-1} n_i(t - \Delta t)e^{-c\Delta t} , \qquad (5)$$

which is characterized by the appraisal horizon 1/c. We use the value c=0 to represent a long-term appraisal (tenure) system and a value  $c\gg 1$  to represent a short-term appraisal system. Each agent i=1...I simultaneously attracts new opportunities at a rate

$$\mathcal{P}_{i}(t) = \frac{w_{i}(t)^{\pi}}{\sum_{i=1}^{I} w_{i}(t)^{\pi}} . \tag{6}$$

until all P opportunities for a given period t are allocated. We assume that each agent has the production potential of one unit per period, and so the total number of opportunities allocated per period P is equal to the number of competing agents,  $P \equiv I$ .

We use Monte Carlo (MC) simulation to analyze this 2-parameter model over the course of t = 1...T sequential periods. In each production period (representing a timescale on the order of half a human year), a fixed number of P production units are captured by the competing agents. At the end of each period, we update each  $w_i(t)$  and then proceed to simulate the next preferential capture period t+1. Since  $\mathcal{P}_i(t)$  depends on the relative achievements of every agent, the relative competitive advantage of one individual over another is determined by the parameter  $\pi$ . In the SI Appendix text we elaborate in more detail the results of our simulation of synthetic careers dynamics. We vary  $\pi$  and c for a labor force of size  $I \equiv 1000$  and maximum lifetime  $T \equiv 100$  periods as a representative size and duration of a real labor cohort. Our results are general, and for sufficiently large system size, the qualitative features of the results do not depend significantly on the choice of I or T.

The case with  $\pi=0$  corresponds to a random capture model that has (i) no appraisal and (ii) no preferential capture. Hence, in this null model, opportunities are captured at a Poisson rate  $\lambda_p=1$  per period. The results of this model (see Fig. S13) shows that almost all careers obtain the maximum career length T with a typical career trajectory exponent  $\langle \alpha_i \rangle \approx 1$ . Comparing to simulations with  $\pi>0$  and  $c\geq 0$ , the null model is similar to a "long-term" appraisal system  $(c\to 0)$  with sublinear preferential capture  $(\pi<1)$ . In such systems, the long-term appraisal timescale averages out fluctuations, and so careers are significantly less vulnerable to periods of low production and hence more sustainable since they are not determined primarily by early career fluctuations.

However, as  $\pi$  increases, the preferential capture mechanism significantly increases the role of competitive advantage in the system, and so some careers are "squeezed"

out" by the larger more dominant careers. This effect is compounded by short-term appraisal corresponding to  $c \approx 1$ . In such systems with super-linear capture rates and/or relatively large c, most individuals experience "sudden death" termination relatively early in the career. Meanwhile, a small number of "stars" survive the initial selection process, which is governed primarily by random chance, and dominate the system.

We found drastically different lifetime distributions when we varied the appraisal (contract) length (see Figs. S12 – S16). In the case of linear preferential capture with a long-term appraisal system c=0, we find that 10% of the labor population terminates before reaching career age 0.94T (where T is the maximum career length or "retirement age"), and only 25% of the labor population terminates before reaching career age 0.98T. On the contrary, in a short-term appraisal system with c = 1, we find that 10% of the labor population terminates before reaching age 0.01T, and 25% of the labor population dies before reaching age 0.02T. Hence, in short contract systems, the longevity, output, and impact of careers are largely determined by fluctuations and not by persistence (see Table S1). Fig. 4 shows the MC results for  $\pi = 1$ . For  $c \geq 1$  we observe a drastic shift in the career longevity distribution P(L), which becomes heavily right-skewed with most careers terminating extremely early. This is consistent with an analytically solvable Matthew effect model [11] which shows that many careers are stunted by the relative disadvantage associated with early career inexperience. However, due to the nature of zero-sum competition, there are a few "big winners" who survive for the entire duration T and who acquire a majority of the opportunities allocated during the evolution of the system. Quantitatively, the distribution P(N) becomes extremely heavy-tailed due to agents with  $\alpha > 2$  corresponding to extreme accelerating career growth. These agents emerge as superstars due to stochastic fluctuations in the relatively early periods and the progressive nature of cumulative advantage.

## IV. CONCLUSION

In an attempt to render a more objective review process for tenure and other lifetime achievement awards, quantitative measures for scientific publication impact are increasing in use and variety [10, 12–15, 23, 36, 37]. However, many quantifiable benchmarks such as the hindex [12] do not take into account collaboration size or discipline specific factors. Measures for the comparison of scientific achievement should at least account for variable collaboration, publication, and citation factors [14, 36, 37]. With the increasing team sizes, complex group dynamics in science [6, 7], and an incredible growth of science, there is an increasing need for individual/group production measures; the output measure  $Q \propto n_i(t)/k_i(t)^{\gamma_i}$  and corresponding output fluctuation measure  $r_Q \propto r_i(t)/k_i(t)^{\gamma_i/2}$  are candidates which ac-

count for group size. Normalized production measures which account for coauthorship factors have been proposed in [14, 36], but the measures proposed therein do not account for the variations in team productivity. We measure a decreasing marginal returns  $\gamma < 1$  with increasing group size which identifies the importance of group efficiency in scientific production. Instead of relying purely on publication measures, it is important that the review process incorporate scientific contributions in various domains such as teaching, public service, coordination, and administration, in addition to research output. Furthermore, a theory of micro growth processes can help improve the growth forecasts for economic organizations ranging in size from scientific collaborations to universities and firms [35, 37–42].

Many professions are marked by competitive features that can stunt the growth of inexperienced individuals and can lead to early career termination [11]. Here we highlight the need for an employment relationship that is able to combine positive competitive pressure on the employee with adequate safeguards to protect against

career hazards and the intrinsic production uncertainty an individual is likely to encounter in his/her career. Increases in the duration and improvements to the appraisal mechanism for young investigator grants can better protect and promote the careers of the diligent and resilient academics towards sustainable career growth. An institutional setting that neglects the specific features of academic career trajectories may inadvertently expose temporarily "cold" careers, leaving them out to freeze.

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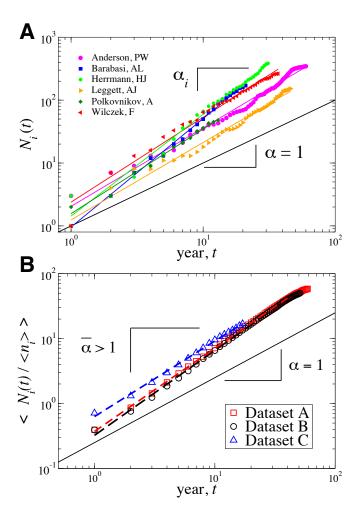


FIG. 1: Persistent accelerating career growth. (A) The career trajectory  $N_i(t) \sim t^{\alpha_i}$  of six stellar careers from varying age cohorts. The  $\alpha_i$  value characterizes the career persistence, where careers with  $\alpha > 1$  are accelerating.  $\alpha_i$  values calculated using OLS regression in alphabetical order are:  $\alpha = 1.25 \pm 0.02$ ,  $\alpha = 1.72 \pm 0.02$ ,  $\alpha = 1.62 \pm 0.04$ ,  $\alpha = 1.23 \pm 0.02, \ \alpha = 1.34 \pm 0.05, \ \alpha = 1.35 \pm 0.04.$  (B) The average career trajectory  $\langle N'(t)\rangle$  calculated from 100 individual  $N_i(t)$  in each dataset demonstrates robust accelerating career growth within each cohort. The normalized career trajectory  $N_i'(t) \equiv N_i(t)/\langle n_i \rangle$  is used in order to aggregate  $N_i(t)$  with varying publication rates  $\langle n_i \rangle$ . As a result, the aggregate scaling exponent  $\overline{\alpha}$  quantifies the acceleration of the typical career over time, independent of  $\langle n_i \rangle$ . For the scientific careers, we calculate  $\overline{\alpha}$  values:  $1.28 \pm 0.01$  (s.d) [A],  $1.31 \pm 0.01$  [B], and  $1.15 \pm 0.02$  [C]. These values are all significantly greater than unity,  $\overline{\alpha} > 1$ , indicative of the production spillovers in science that results in a cumulative advantage. We calculate  $\overline{\alpha}$  using OLS regression and plot the corresponding best-fit lines (dashed) for each dataset.

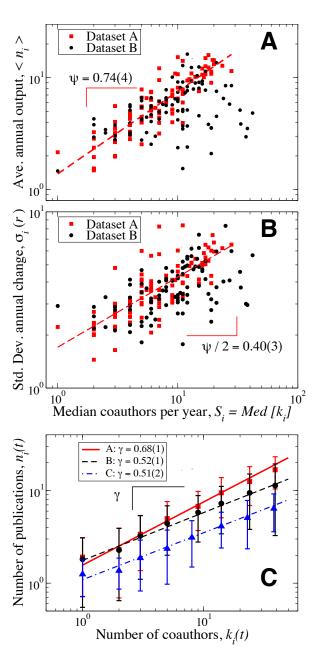


FIG. 2: Quantitative relations between career growth, career risk, and collaboration efficiency. The natural fluctuations in production reflect the unpredictable horizon of "career shocks" which can affect the ability of a scientists to access new creative opportunities. (A) Relation between average annual production  $\langle n_i \rangle$  and median collaboration size  $S_i \equiv Med[k_i]$  shows a decreasing marginal output per collaborator as demonstrated by sublinear  $\psi < 1$ . Interestingly, dataset [A] scientists have on average a larger output-toinput efficiency. (B) The production fluctuation scale  $\sigma_i(r)$ is a quantitative measure for uncertainty in academic careers, with scaling relation  $\sigma_i(r) \sim S_i^{\psi/2}$ . (C) Over time, there is an increasing returns to scale for the annual production  $n_i(t)$ with  $\alpha > 1$ , but another key to success is the management of production within a team of  $k_i(t)$  distinct coauthors. Management, coordination, and training inefficiencies can result in a  $\gamma < 1$  corresponding to a decreasing marginal return with each additional coauthor input.

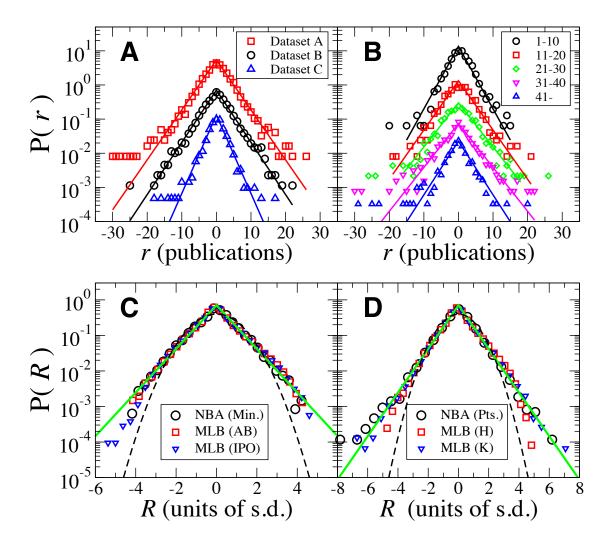


FIG. 3: The importance of persistence in the wake of career uncertainty. The width of the distributions indicates a relatively large range in annual production change r corresponding to career uncertainty. The symmetry of the distributions highlights the importance of personal resiliency to tame the psychological affects of such career uncertainty. Persistence is crucial for "bouncing back" from a year with significantly low production. Consider the career of Michael Jordan, who suffered a broken foot during his second professional NBA season. Nevertheless, with the confidence of his employer, MJ reestablished his investment value through persistence and resilience. The following year MJ achieved an extremely large  $R_i(t) = 7.6\sigma$  growth value. Moreover, the statistical regularities in the annual production change distribution indicate a striking resemblance to the growth rates distribution for large institutions. (A) Probability density function (pdf) of the annual production change r in the number of papers published over a  $\Delta t = 1$  year period. In the bulk of each P(r), the growth distribution is double-exponential (Laplace), analogous with what is observed for the growth dynamics of countries, firms and universities [38, 39]. (B) To test the stability of the distribution over career trajectory subintervals, we separate  $r_i(t)$  values into 5 non-overlapping 10-year periods and verify the stability of the Laplace P(r). For each P(r), we also plot the corresponding Laplace distribution (solid line) with standard deviation  $\sigma$  and mean  $\mu \approx 0$  calculated using the maximum likelihood estimator method. To improve graphical clarity, we vertically offset each P(r) by a constant factor. Deviations in the tails likely correspond to extreme "career shocks." (C,D) For athlete careers in the NBA and MLB we define production change R as: (C) the change in the number of in-game opportunities and (D) the change in the number of in-game successes. Since the detrended production change R is defined to have standard deviation  $\sigma \equiv 1$ , the pdfs P(R) collapse onto a universal Laplace pdf (solid green line). For visual comparison, we also plot a Normal distribution (dashed black curve) with  $\sigma \equiv 1$  which instead decays parabolically on the log-linear axes.

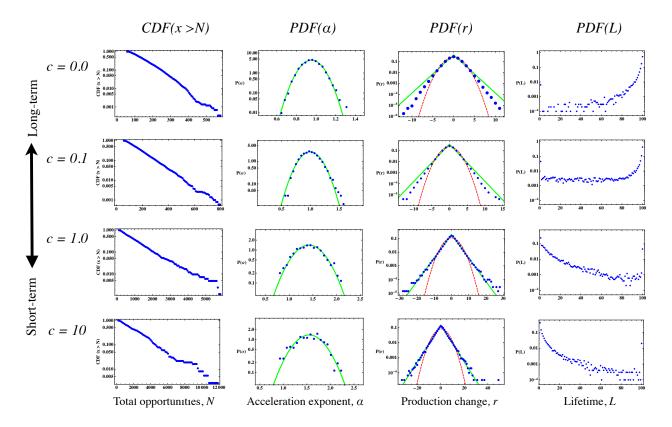


FIG. 4: Monte Carlo simulation of the linear preferential capture model ( $\pi=1$ ) for varying contract length parametrized by c. We plot the probability distributions for (i)  $N_i$ , the total number of opportunities captured by the end period T, (ii) the growth acceleration exponent  $\alpha_i$ , (iii) the single period growth fluctuation  $r_i(t)$  including for comparison the Laplace (solid green) and Gaussian (dashed red) best-fit distributions calculated using the respective MLE estimator, and (iv) the career longevity  $L_i$  defined as the time difference between an agent's first and last captured opportunity. Results for  $c \to 0$  systems shows that for a "long-term appraisal" scenario careers are less vulnerable to low-production phases, and as a result, most agents sustain production throughout the career. Conversely, results for  $c \ge 1$  systems show that for a "short-term appraisal" scenario the labor system is driven by fluctuations that can cause career "sudden death" for a large fraction of the population. In this short-term appraisal model, there are typically a small number of agents who are able to capture the majority of the labor market opportunities with accelerating growth  $\alpha_i \ge 1$  sustaining the career. Thus, a few "lucky" agents are able to survive the initial fluctuations and end up dominating the system. In the SI text and Figs. S12-S16, we further show that systems with increased levels of competition ( $\pi > 1$ ) mimic systems with short term contracts, resulting in employment "death traps" whereby most careers stagnate and terminate early.

# Supporting Information Appendix

# Persistence and Uncertainty in the Academic Career

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#### I. DATA

To test the intriguing possibility that competition leads to common growth patterns in complex systems of arbitrary size S, we analyze the production dynamics of two professions that are dissimilar in many regards, but share the common underlying driving force of competition for limited resources. In order to establish empirical facts that we believe are independent of the details of a given competitive profession, we analyze a large dataset of production  $n_i(t)$  values and corresponding growth fluctuation  $r_i(t) \equiv n_i(t) - n_i(t-1)$  values. We define the appropriate measures for  $n_i(t)$  to be (a) the annual number of papers published by scientist i and (b) the seasonal performance metrics of professional athlete i. The large number of careers in these two professions readily lend themselves to quantitative analysis because the data that quantify the career production trajectory are precisely defined and comprehensive throughout an individual's entire career. Furthermore, because of the generic nature of competition, we use these two distinct professions to compare and contrast the distribution of career impact measures across a cohort of competitors. The datasets we analyze are:

#### I: Academia:

We analyze the publication careers of 300 physicists which we categorize in 3 subsets each consisting of 100 individuals:

- (A) Dataset A corresponds to the 100 most-cited physicists according to the citation shares metric [14] (with average h-index  $\langle h \rangle = 61 \pm 21$ ). These 100 careers constitute 3,951  $r_i(t)$  values.
- (B) Dataset B corresponds to the 100 other "control" scientists, taken approximately randomly from the same physics database (with average h-index  $\langle h \rangle = 44 \pm 15$ ). In the selection process for dataset B, we only consider scientists who have published between 10 and 50 articles in PRL over the 50-year period 1958-2008. These 100 careers constitute 3,534  $r_i(t)$  values.
- (C) Dataset C corresponds to 100 Assistant Professors (with average h-index  $\langle h \rangle = 15 \pm 7$ ), where we select two physicists from each of the top-50 U.S Physics & Astronomy Departments (according to the U.S. News rankings). These Asst. Profs. are assumed to be early in their career and relatively accomplished given the difficulty in obtaining such a position in any given university. These 100 careers constitute 1,050  $r_i(t)$  values.

In order to control for discipline-specific citation patterns, we select individuals in dataset A and B from set of all scientists who have published in *Physical Review Letters* (PRL) over the 50-year period 1958–2008. As a measure of output, we define  $n_i(t)$  as the number of papers published in year t of the career of individual i, where year t = 1 corresponds to the year of the first publication on record for author i. We downloaded the complete publication records of the scientists in datasets A and B from ISI Web of Science (http://www.isiknowledge.com/) in Jan.

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2010, and we downloaded the complete publication records of the scientists in dataset C from ISI Web of Science in Oct. 2010. We used the "Distinct Author Sets" function provided by ISI in order to increase the likelihood that only papers published by each given author are analyzed.

### II : Major League Baseball (MLB):

We analyze 17,292 baseball players over the 90-year period 1920-2009 using comprehensive league data obtained from Sean Lahman's Baseball Archive accessed at http://baseball1.com/index.php. We separate the career data into two distinct subsets: non-pitchers (players not on record as having pitched during a game) and pitchers.

- (A) For non-pitchers, we analyze two batting metrics: an "opportunity metric" at-bats (AB), and a "success" metric hits (H). Together, these 8,993 careers constitute 43,043  $r_i(t)$  values.
- (B) For pitchers, we analyze two pitching metrics: an "opportunity metric" innings-pitched measured in outs (IPO), and a "success" metric strikeouts (K). Together, these 8,299 careers constitute 33,965  $r_i(t)$  values.

#### III: National Basketball Association (NBA):

We analyze 3,864 basketball careers, constituting 15,316  $r_i(t)$  values, over the 63-year period 1946-2008 using data obtained from *Data Base Sports Basketball Archive* accessed at http://www.databasebasketball.com/. We analyze two player metrics:

- (A) an "opportunity metric" minutes played (Min.), and
- (B) a "success" metric points scored (Pts.)

Since sports careers typically peak for athletes around age 30, we account for a time-dependent career trajectory which is dominant in most sports careers by "detrended" the measures for career growth fluctuations. In the case where we do not account for a individual fluctuation scale,

$$R_i \equiv [r_i(t) - \overline{r}(t)]/\sigma(t) . \tag{S1}$$

In this case we detrend with respect to the average production difference  $\bar{r}(t)$  and the standard deviation of production difference  $\sigma(t)$  which are calculated using all careers from a given sports league, conditional on the career year t.

In the case where we do account for individual variations, we first define  $z_i(t) \equiv (r_i(t) - \langle r_i \rangle)/\sigma_i$  to be normalized with respect to the individual career scales  $\langle r_i \rangle$  and  $\sigma_i$  which are the average and standard deviation of the production change of athlete career i. Then we define the detrended growth rate as

$$R_i' \equiv [z_i(t) - \langle z(t) \rangle] / \sigma_{z(t)} , \qquad (S2)$$

where in this case we detrend with respect to the average  $\langle z(t) \rangle$  and standard deviation  $\sigma_{z(t)}$  calculated by collecting all  $z_i(t)$  values for a given career year t. This detrending better accounts for the relatively strong time-dependent growth patterns in sports.

### II. NORMALIZED ANNUAL PRODUCTION MEASURES ARE GAMMA DISTRIBUTED

In this section we analyze the annual production of scientists measured as the number of papers published  $n_i(t)$  over the period of a year. Using this measure does not account for the variability in the length of production, say in the number of pages, nor does it account for the impact of the paper, a quantity commonly approximated by a paper's citation number. Instead, we consider a simple definition that a scientific product is a final output of a collection of inputs. Furthermore, in science it is assumed that the peer review process establishes a quality threshold so that only manuscripts above a certain quality and novelty standard can be published and incorporated into the scientific body of knowledge.

Prior theories of scientific production have also used the number of publications as a proxy for scientific output. In particular, the Shockely model [33] proposed a simple multiplicative factor model for the production  $n_i(t)$  which predicts a log-normal distribution for P(n). An alternative null model for  $n_i(t)$  is the Poisson process, which assumes that each individual is endowed with a rate parameter  $\omega$  related to an individual's production factors. This model predicts a Poisson distribution for P(n). However, a shortfall of these models is that multiplicative parameters in

the Shockley model and the rate parameter  $\omega$  are difficult to measure, especially if the set of individuals span a large range of production factors, and moreover, if the careers are non-stationary.

Fig. S8 shows the unconditional probability distribution P(n) calculated by aggregating all  $n_i(t)$  values for all scientists and all years into an aggregate dataset. Naively, the distributions are well-fit by the Log-normal distribution, and so there is an apparent agreement with the multiplicative factor Shockley model. However, the distribution  $P(n) = \sum_{i=1}^{100} P(n|S_i)$  is the aggregate distribution constructed from 100 individual career trajectories  $n_i(t)$ , each with varying size  $S_i$ . Indeed, we demonstrate in Figs. 1 and S1 to be non-linear, with time-dependent residuals around the moving average. Hence, it is not possible from the unconditional pdf P(n) to determine if the process underlying scientific production corresponds to a simple multiplicative process or a Poisson process.

In order to better account for the variable size  $S_i$  of each career which affects the rate at which an individual is able to capture publication opportunities, we plot in Fig. S7 the pdf of the normalized output

$$Q_i = \frac{n_i(t)}{f_i(k)} . (S3)$$

We calculate the normalization factor  $f_i(k) = q_i[k_i(t)]^{\gamma_i}$  for each individual i by estimating the parameters  $q_i$  and  $\gamma_i$  for each scientist i from the single-factor model

$$n_i = q_i k_i^{\gamma_i} . (S4)$$

where  $n_i(t)$  is the annual production in year t and  $k_i(t)$  is the total number of distinct coauthors in year t. Hence,  $Q_i$  represents the production factor above Q > 1 or below Q < 1 what would be expected from the author i given the fact that he/she had additional inputs from  $k_i(t)-1$  individuals that year. This model assumes that the major component contributing to production is the collaboration degree k of the research output, and also assumes that the input of each coauthor contributes equally to the final output. Clearly, these assumptions neglect some important idiosyncratic details affecting scientific publication, but given the incomplete information associated with every publication, it is a decent approximation. We estimate  $q_i$  and  $\gamma_i$  by performing a linear regression of  $\log n_i$  and  $\log k_i$  using the first  $L_i$  years of each career, neglecting years with  $n_i = 0$ . We use  $L_i = 35$  years for dataset [A] and [B] scientists, and  $L_i = 10$  years for dataset [C] scientists.

In Fig. 2(c) we approximate  $\gamma$  using all n(t) within each dataset with  $k \leq 50$ , and performing a regression of the model

$$ln n = ln q + \gamma ln k + \epsilon$$
(S5)

to estimate  $\gamma$ , where  $\epsilon$  is the residual due to other unaccounted production factors. For each dataset we find that the aggregate efficiency parameter  $\gamma$  is approximately equal to the average  $\langle \gamma_i \rangle$  calculated from the 100  $\gamma_i$  values in each career dataset:  $\gamma = 0.68 \pm 0.01$  [A],  $\gamma = 0.52 \pm 0.01$  [B], and  $\gamma = 0.51 \pm 0.02$  [C]. Furthermore, the  $\psi \approx \gamma$  since the size-variance scaling parameter  $\psi$  is also an efficiency measure that relates the scaling of output n to input k.

As a result of this analysis, we quantify the scaling exponent  $\gamma < 1$  of the decreasing marginal returns in the scientific production function for projects with  $k \leq 50$ . This likely stems from the inefficient management costs associated with large group collaborations which typically manifest in a larger production timescale. In fact, for years with  $k \geq 50$  coauthors, scientific output shows decreasing returns to scale. Interestingly, the star scientists in dataset [A] display significantly larger efficiency, quantitatively showing the importance of management skills in scientific success.

The normalized production values are normalized to units of "expected production" conditional on the  $k_i$  inputs for author i. We aggregate all data from each dataset and show in Fig. S7 that the Q values are well-described by the Gamma distribution

$$P(Q) = Q^{m-1} \frac{\exp[-Q/\theta]}{\theta^m \Gamma(m)}$$
 (S6)

where m is the shape parameter and  $\theta$  is the scale parameter. Surprisingly, we find that dataset [A] and [B] have approximately equal Gamma parameters, indicating that besides their production efficiency, top scientists are virtually indistinguishable with average normalized output  $\langle Q \rangle = m\theta > 1$ . For each dataset we calculate the Gamma parameters using the maximum likelihood estimator method: m=5.45 and  $\theta=0.21$  [A], m=5.60 and  $\theta=0.20$  [B], and m=7.00 and  $\theta=0.15$  [C]. We leave it as an open question to determine why the Gamma distribution describes so well the production statistics. We ponder the intriguing possibility that the stochastic dynamics underlying individual production corresponds to an increasing Lévy process with variable jump length which is known to produce a Gamma distribution.

#### III. QUANTIFYING THE CAREER TRAJECTORY

The reputation of an individual is typically cumulative, based on the total sum of achievements, which we approximate by the cumulative output  $N_i(t)$  (e.i. number of papers published by year t). In Figs. 1 and S1 we plot  $N_i(t)$  for several individuals. The careers presented in Fig. 1 are more linear, indicating quantifiable career trajectory that has the approximate form

$$N_i(t) = \sum_{t'=1}^t n_i(t') \approx A_i \ t^{\alpha_i} \ , \ \ t < T_i$$
 (S7)

where  $n_i(t)$  are the number of papers in year t of the scientist's career which begins with  $t \equiv 1$  in the year of his/her first publication, and begins to decline around time  $T_i$  which is the time horizon over which the scaling regularity holds before termination and aging effects begin to dominate the career. In our analysis of academic career trajectories  $N_i(t)$ , we only analyze  $N_i(t)$  for  $t \leq 40$  years in order to account for such termination affects.

The smooth career trajectories which appear as a linear curve when plotted on log-log scale are characterized by an amplitude parameter  $A_i$  and a scaling exponent  $\alpha_i$ . However, as indicated by Fig. S1, there are also non-stationary  $N_i(t)$  which are dominated by "career shocks" that significantly alter the career trajectory. Such career shocks have been demonstrated using publication impact measures (e.i. citations, and h-index sequences) [10, 15, 23], and here we show that they even occur at the more fundamental level of individual production dynamics.

In order to analyze the characteristic properties of  $N_i(t)$  for all 300 scientists analyzed, we define the normalized trajectory  $N_i'(t) \equiv N_i(t)/\langle n_i \rangle$ , where  $\langle n_i(t) \rangle$  is the average annual production rate of author i, and so by construction  $N_i'(L_i) = L_i$ . Fig. S2(A) shows the characteristic production trajectory obtained by averaging the 100 individual  $N_i'(t)$  for each dataset,

$$\langle N'(t) \rangle \equiv \left\langle \frac{N_i(t)}{\langle n_i \rangle} \right\rangle \equiv \frac{1}{100} \sum_{i=1}^{100} \frac{N_i(t)}{\langle n_i \rangle} .$$
 (S8)

The standard deviation  $\sigma(N'(t))$  is shown in Fig. S2(B), which has a broad peak that is a likely signature of career shocks that can significantly alter the career trajectory. The characteristic trajectory for each dataset are well-approximated by the scaling relation

$$\langle N'(t)\rangle \sim t^{\overline{\alpha}}$$
 (S9)

with characteristic scaling exponents  $\overline{\alpha} > 1$  that are significantly greater than unity:  $\overline{\alpha} = 1.28 \pm 0.01$  for Dataset A,  $\overline{\alpha} = 1.31 \pm 0.01$  for Dataset B, and  $\overline{\alpha} = 1.15 \pm 0.02$  for Dataset C. This fact implies that there is a significant cumulate advantage in scientific careers which allows for the career trajectory to be accelerating. In Fig. S2(C) and S2(D) we plot the analogous  $\langle N'(t) \rangle$  curves for professional sports metrics, where for this profession,  $\overline{\alpha} \approx 1$  for all measures analyzed. This is likely due to the fact that annual production in professional sports is capped by the limited number of opportunities provided by a season, whereas in academics, the number of publications a scientist can publish is in principle unlimited.

In Fig. S3 we plot each individual career trajectory using the rescaled time  $t_i' = t^{\alpha_i}$  as an additional visual test of the scaling model given by Eq. S7. We show that on average, all curves i=1.300 approximately collapse onto the expected curve  $N_i(t)/A_i = t'$ , where the residual difference  $\epsilon_i(t') \equiv N_i(t)/A_i - t'$  are likely due to career shocks of various magnitudes. We plot the average and standard deviation of each set of  $100 \ N_i(t)/A_i$  curves which show that most of the shocks  $\epsilon_i(t')$ , with some significant exceptions, lie within the  $1\sigma$  standard deviation denoted by the error bars. In Fig. S4 we plot the probability distributions  $P(\alpha_i)$  for each academic dataset. For each dataset, the average value  $\langle \alpha_i \rangle$  is in good agreement with  $\overline{\alpha}$ , the scaling parameter calculated for the corresponding trajectory  $\langle N'(t) \rangle$ .

#### IV. EXPONENTIAL MIXING OF GAUSSIANS

The idea that entities are independent and identically distributed is an unrealistic assumption commonly made in analyses of complex systems. The unconditional pdf P(r) is commonly analyzed in empirical studies where insufficient data are present to define normalized  $r'_i$  measures for each sample constituent i. Nevertheless, when modeling the evolution of complex based on empirical data corresponding to distinct subunits (such as individual careers, companies, or nation regions), unconditional quantities that account for variations in underlying production factors should be used.

In the case of scientific output, there are many production factors that combine together and determine the amount of human efforts needed to produce a unit of production. In general, consider the value  $f_{i,j}$  of individual i corresponding to his/her relative abilities in the production factor j = 1...J corresponding to a variety of attributes: knowledge, genius, persistence, reputation, mental and physical health, communication skills, organization skills, and access to technology, equipment and data, etc. In this study, we compare scientists who publish in similar journals. Still, the scientific input required for each scientific output can vary by a large amount, largely depending on the technology needed to perform the analysis, ranging from particle accelerators to just a pencil and paper.

In a very generalized representation, an unconditional distributions P(r), such as shown in Fig. 3(a-d) for production change r, may follow from a mixture of conditional Gaussian distributions P(r|S)

$$P_{\psi}(r) = \int_0^\infty P(r|S)P(S)dS \approx \sum_{i=1}^I P_i(r|S)P(S)dS . \tag{S10}$$

The underlying conditional distributions are characterized by the average  $\langle r \rangle_S$  and variance  $\sigma^2(S) = VS^{\psi}$ 

$$P(r|S) = \exp[-(r - \langle r \rangle_S)^2 / 2V S^{\psi}] / \sqrt{2\pi V S^{\psi}} . \tag{S11}$$

which are each parameterized by the "unit size" S. In cases where the average change  $\langle r_S \rangle \approx 0$ , then the distribution P(r|S) is characterized by only the fluctuation scale  $\sigma(r)$ . Fig. S5 demonstrates that the normalized production change  $r'_i(t) = (r - \langle r_i \rangle)/\sigma_i$  is distributed according to a Gaussian distribution. Hence, using normalized variables, we have mapped the process to a universal scaling distribution P(r|S).

When the distribution P(S) is exponential,

$$P(S) = \lambda e^{-\lambda S} \tag{S12}$$

then mixture is termed an "exponential mixture of Gaussians" [35], where the units have characteristic size  $\overline{S} = 1/\lambda$  and characteristic fluctuation scale  $\sigma(\overline{S})$ . Fig. S10 shows that the distribution of sizes  $S_i$  is approximately exponential for each dataset, supporting the case for exponential mixing. Using the cumulative distribution of S for each data set we calculate  $\lambda = 0.15 \pm 0.01$  [A],  $\lambda = 0.11 \pm 0.01$  [B], and  $\lambda = 0.11 \pm 0.01$  [C]. While the tail behavior of P(r) can be used to better discriminate the value of  $\psi$ , we do not have sufficient data in this analysis to perform a more rigorous test of the tail dependencies, or in general, to investigate the distribution of significantly large  $r_i(t)$  values.

The scaling relation  $\sigma(r) \sim S^{\psi/2}$  determines the functional form of the aggregate  $P_{\psi}(r)$ . Clearly,  $\sigma(r)$  increases for  $\psi > 0$  values, whereas for values  $\psi < 0$ ,  $\sigma(r)$  decreases with size S. This latter case is empirically observed for countries and firms [41], whereby in general, large economic entities are able to decrease growth volatility by increasing and diversifying their portfolio of growth products. In our analysis of scientific careers we define  $S_i \equiv Med[k_i(t)]$ , the median number of distinct coauthors per year, as a proxy for the ability of the career to attract new opportunities, and hence, as a proxy for the size  $S_i$  of an academic career. For professional athletes, we define the career size as the average number of points scored over the career  $S_i \equiv \langle p_i(t) \rangle$ . In Fig. 2 we calculate  $\psi/2 \approx 0.40 \pm 0.03$  (regression coefficient R = 0.77) for dataset [A],  $\psi/2 \approx 0.22 \pm 0.04$  (R = 0.51) [B], and  $\psi/2 \approx 0.26 \pm 0.05$  (R = 0.45) [C].

The role of mental, physical, and group spillovers is quite different in professional sports. Athletes attract future opportunities largely through their historical track record, which is heavily weighted on performance in the near past, and less on the cumulative history. Hence, for this performance-based labor force, we use a simple definition of "team value" to define the career size  $S_i$ . This quantity is easier to define for basketball, since there are smaller differences between players of different team position than in other sports. For NBA player i we define  $S_i$  as the average number of points scored per year,  $S_i \equiv \langle p_i \rangle$ . Fig. S9 shows a crossover value  $S_c$  which we interpret to reflect the fact that sports players typically fall into one of two categories: starters (everyday players) and replacement (game filler) players. We calculate  $\psi/2 \approx 0.38 \pm 0.02$  for emerging and "second string" careers with  $S_i < S_c$ , and a decreasing size variance relation ( $\psi < 0$ ) for high-value careers with  $S_i > S_c$ . Similar values occur in the MLB. These two  $\psi$  regimes reflect the crucial balance of risk and reward in short-term contract professions.

A variety of pdfs  $P_{\psi}(r)$  can result from the exponential mixture of Gaussians

$$P_{\psi}(r) = \int_0^\infty \lambda e^{-\lambda S} \frac{1}{\sqrt{2\pi\sigma^2(r)}} \exp[-r^2/2\sigma^2(r)] dS$$
 (S13)

depending on the value of  $\psi$  which quantifies the size-variance relation. The functional form of  $P_{\psi}(r)$  can vary in both the bulk and the tails of the distribution [35]. A simple result which follows from the case  $\psi = 1$  is the Laplace (double-exponential) distribution

$$P_{\psi=1}(r) = \sqrt{\frac{\lambda}{2V}} \exp\left[-\sqrt{\frac{2\lambda}{V}}|r|\right]. \tag{S14}$$

This distribution is a member of the family of Exponential power distributions which follow from the range of values  $\psi \geq 0$  [35]. In general, if the scaling values are in the range  $\psi \geq 0$ , then the exponential mixture leads to an Exponential power distribution

$$P(r) = \frac{\beta}{\sqrt{2}\sigma\Gamma(1/\beta)} \exp[-\sqrt{2}(|r|/\sigma)^{\beta}]$$
 (S15)

with shape parameter  $\beta$  in the range  $\beta \in (0,2]$  [35]. The pure exponential P(r) with  $\beta = 1$  corresponds to the case  $\psi = 1$ . The pure Gaussian P(r) with  $\beta = 2$  corresponds to the case  $\psi = 0$ .

Furthermore, if the annual production is logarithmically related to an underlying production potential,  $n_i(t) \propto \ln U_i(t)$ , then  $r_i(t) \propto \ln U_i(t) - \ln U_i(t-1)$  quantifies the logarithmic change ("growth rate") of  $U_i(t)$ . This forms the analogy with growth dynamics of large institutions with size  $S \gg 1$ . For example, in the case of financial securities such as the stock of a company i, the growth rate  $r_i(t)$  measure the logarithmic change in the market's expectations of the company's future earnings potential captured by the market capitalization and price [42]. As a result, distributions P(r) of career growth fluctuation r, which we plot in Figs. 3 (a-d), can be seen as a bridge between the micro level and the macro level of economic growth fluctuation. A theory of micro growth processes can help improve the growth forecasts for economic organizations ranging in size from scientific collaborations to universities and firms [35, 37–42].

#### V. NONLINEAR PREFERENTIAL CAPTURE MODEL

Here we describe a stochastic system in which a finite number of opportunities are distributed to a system of individual competing agents i = 1...I. The opportunities are distributed in batches of P opportunities per arbitrary time interval. This model has two parameters.

- (i)  $\pi$  determines the preferential capture mechanism (the value  $\pi = 1$  corresponds to the traditional "linear" preferential attachment model) and
- (ii) c determines the performance timescale 1/c which is incorporated into the calculation of the capture rates of each individual. The value c = 0 corresponds to a long-term memory and  $c \gg 1$  corresponds to short-term memory.

We use this simple model to show that a system governed by a preferential capture can become dominated by fluctuations when c is large. The value 1/c quantifies the "performance appraisal timescale": a small c corresponds to a labor system with long contracts, or some alternative mechanism that provides employment insurance through periods of low production, so that the ability to attract future opportunities is largely based on the cumulative record of career achievement. Conversely, a large c corresponds to a labor system with short contracts in which the ability to attract future opportunities is largely based on the accomplishments in the near past, requiring an agent to maintain relatively high levels of production in order to survive. In this latter case, we find that (natural) fluctuations in the annual production can cause a significant fraction of the careers to "fizzle out" leaving behind only a few "super careers" who attract almost all of the opportunities. In other words, short contracts can tip the level of competition into dangerous territory whereby careers are largely determined by fluctuations and not persistence.

# A. System of competing agents

1) The system consists of  $I \equiv 1000$  agents competing for P opportunities that are allocated in a single period. There is no entry, hence the number I is kept constant. Also, P is also kept constant, so there is no growth in the labor supply.

- 2) We run the Monte Carlo (MC) simulation for  $T \equiv 100$  time periods and all agents are by construction from the same age cohort (born at same time).
- 3) Each time period corresponds to the allocation of  $P \equiv \sum_{i=1}^{I} n_{0,i}$  opportunities, sequentially one at a time, to randomly assigned agents i, where  $n_{0,i} \equiv 1$  is the potential production capacity of a given individual.
- 4) The assignment of a given opportunity is proportional to the time-dependent weight (capture rate)  $w_i(t)$  of each agent. Hence, the assignment of 1 opportunity to agent i at period t results in the production (achievement)  $n_i(t)$  to increase by one unit:  $n_i(t) \to n_i(t) + 1$ . In the next time period t + 1, we update the weight  $w_i(t + 1)$  to include the performance  $n_i(t)$  in the current period.

#### B. Initial Condition

The initial weight at the beginning of the simulation is  $w_i(t=0) \equiv n_c$  for each agent i with  $n_c \equiv 1$ . The value  $n_c > 0$  ensures that competitors begin with a non-zero production potential, and corresponds to a homogenous system where all agents begin with the same production capacity. Hence, we do not analyze the more complicated model wherein external factors (i.e. collaboration factors) can result in a heterogeneous production capacity across scientists. By construction, each agent begins with one unit of achievement  $n_i(t=1) \equiv 1$ .

## C. System Dynamics

- 1) In each Monte Carlo step we allocate one opportunity to a randomly chosen individual i so that  $n_i(t) \to n_i(t) + 1$
- 2) The individual i is chosen with probability  $\mathcal{P}_i(t)$  proportional to  $[w_i(t)]^{\pi}$

$$\mathcal{P}_{i}(t) = \frac{w_{i}(t)^{\pi}}{\sum_{i=1}^{I} w_{i}(t)^{\pi}}$$
 (S16)

where the value  $w_i(t)$  is given by an exponentially weighted sum over the entire achievement history

$$w_i(t) \equiv \sum_{\Delta t=1}^{t-1} n_i(t - \Delta t)e^{-c\Delta t} . \tag{S17}$$

The parameter  $c \ge 0$  is a memory parameter which determines how the record of accomplishments in the past affect the ability to obtain new opportunities in the current period, and therefore, the future. The limit c=0 rewards long-term accomplishment by equally weighting the entire history of accomplishments. Conversely, when  $c \gg 1$  the value of  $w_i(t)$  is largely dominated by the performance  $n_i(t-1)$  in the previous period, corresponding to increased emphasis on short-term accomplishment in the immediate past. Intermediate values 0 < c < 1 weight more equally the immediate past and the entire history of accomplishment.

- 3) The exponent  $\pi$  determines how the relative ability to attract opportunities  $\mathcal{P}_i/\mathcal{P}_j = [w_i(t)/w_j(t)]^{\pi}$  depends on the weights  $w_i(t)$  and  $w_j(t)$  between two individuals i and j. The linear capture case follows from  $\pi = 1$ , uniform capture  $\pi = 0$ , super linear capture  $\pi > 1$ , and sub-linear capture  $\pi < 1$ .
- 4) At the end of each time period, the weight  $w_i(t)$  is recalculated and used for the entirety of the next MC time period corresponding to the allocation of the next  $I \times n_c$  achievement opportunities.

## D. Model Results

We simulate this system for a realistic labor force size I=1000 with the assumption that in any given period, an individual has the capacity for one unit of production ( $n_c \equiv 1$ ). We evolve the system for T=100 periods corresponding to  $I \times n_c \times T$  Monte Carlo time steps. The timescale T represents the (production) lifetime of individuals with finite longevity. In this model we do not include exogenous shocks (career hazards) that can result in career death [11]. Here we analyze four quantities:

1) The distribution P(N) of the total number of opportunities  $N_i(T) \equiv \sum_{t=1}^T n_i(t)$  captured by agent i over the course of the T- period simulation.

- 2) The distribution  $P(\alpha)$  of the career trajectory scaling exponent  $\alpha_i$  defined in Eq. S7 which quantifies the (de)acceleration of production over the course of the career.
- 3) The distribution P(r) of production outcome change r defined in Eq. 1 which quantifies the size of endogenous production shocks.
- 4) The distribution P(L) of career length  $L_i$  which measures the active production period of each career starting from t = 0. We define activity as the largest period value  $L_i$  for which  $n_i(L_i) = 0$ , which in other words, corresponds to truncating all 0 production values from the end of the trajectory  $n_i(t)$  and defining  $L_i$  as the length of this time series.

We display these four distributions, from left to right, for varying  $\pi$  and c values, in each panel of Figs. S12 – S16. Empirical distributions calculated from MC simulations are plotted as blue dots, with benchmark distributions described below plotted as solid green curves. For each  $\pi$  and c value we simulate 10 MC systems, and combine the results into aggregate distributions which are shown. For simulations with  $\pi > 1$  the pdf data are aggregated over the results of 50 MC simulations. We list below some of our main observations.

For  $\pi = 1$ , independent of c, we observe exponential P(N), consistent with the prediction of the linear preferential capture model in the case of no firm entry (b = 0) in the model of Kazuko et al. [34]. However, the distribution P(L) and the distribution  $P(\alpha)$  does depend strongly on c, reflecting the possibility of career "sudden death" for large c.

For the  $P(\alpha)$  distributions (middle-left panels), the solid green line is a best-fit Gaussian distribution (using the MLE method) for the set of  $\alpha_i$  values computed for careers that did not undergo "sudden death."

For the P(r) distributions (middle-right panels), the solid green curve corresponds to a best-fit Laplace distribution (using the MLE method) and the dashed red curve corresponds to a best-fit Guassian distribution (using the MLE method) which we show only for benchmark comparison. Typical empirical distributions (values shown as blue dots) range from being distributions that are Gaussian to distributions that are Laplacian in the bulk but with heavy tails.

For the P(L) distributions (right most panels), we note that the most likely career length L is typically either L=1 or L=T for all systems analyzed. However, there are likely c and  $\pi$  parameter values corresponding to P(L) that is uniform distributed over the entire range of L values, which may be an interesting class of system to analyze in future analyses since such a system promotes diversity across the entire longevity spectrum. The system we show for  $\pi=1.2$  and c=1 appears to be close to this scenario.

Fig. S12 shows the null model with no preferential capture ( $\pi=0$ ). We confirm that the careers in this model are driven by a stochastic accumulation process that is equivalent to a Poisson process with rate  $\lambda_p \equiv 1$ . In this homogenous system, each career gains on average one opportunity each time period, so that at the end of the simulation, the distribution P(N) is a Poisson distribution with  $\langle N \rangle = \lambda_p T$  (shown as the solid blue line) which fits the model data excellently. For these careers, the typical  $\alpha=1$ , the production changes are well-approximated by a Gaussian distribution, and most careers are sustained for the maximum possible lifetime corresponding to T periods.

Fig. S13 shows the system with c=0 corresponding to comprehensive career appraisal corresponding to a long-term memory system. We analyze this system for 4 values of  $\pi=0.8, 1.0, 1.2, 1.4$ . This "long-term memory" scenario corresponds to a long-term contract profession whereby careers are less vulnerable to periods of low production. As a result, most careers sustain production throughout the career.

Fig. S14 shows the system with c=0.1 corresponding to an effective memory timescale of 1/c=10 periods. We analyze this system for 4 values of  $\pi=0.8, 1.0, 1.2, 1.4$ . This "medium-term memory" scenario yields a rich variety of careers for  $\pi=1$ , but for  $\pi=1.2$  the system becomes quickly dominated by "rich-get-richer" effects which results in careers being vulnerable to low production fluctuations.

Fig. S15 shows the system with c=1 corresponding to an effective memory timescale of 1/c=1 period. We analyze this system for 4 values of  $\pi=0.8, 0.9, 1.0, 1.1$ . For all values of  $\pi$  analyzed, we observe a system that is dominated by careers that are cut short by the high levels of competition induced by the relatively high value placed on continued production.

Fig. S16 shows the extreme case of a "no memory" scenario in which  $w_i(t) \approx n_i(t-1)$  whereby most careers experience sudden death due to endogenous negative production shocks early in their career. The lucky few careers

who survive this period end up as rich-get-richer "superstars." This behavior occurs for all systems analyzed using 4 values of  $\pi = 0.8, 0.9, 1.0, 1.05$ .

#### E. Discussion of the model in relation to the Academic labor market

One serious drawback of short-term contracts are the tedious employment searches, which displace career momentum by taking focus energy away from the laboratory, diminishing the quality of administrative performance within the institution, and limiting the individual's time to serve the community through external outreach [1, 2]. These momentum displacements can directly transform into negative productivity shocks to scientific output. As a result, there may be increased pressure for individuals in short-term contracts to produce quantity over quality, which encourages the presentation of incomplete analysis and diminishes the incentives to perform sound science. These changing features may precipitate in a "tragedy of the scientific commons."

Aside from promoting circumspect research, job security in academia diminishes the incentives for scientists to "save and store" their knowledge for future liquidation in the case of employment emergency, and thus promotes the institution of "open science" [5]. However, a policy shift towards short-term contracts, along with the heightened value of intellectual property, may alter the course of publicly funded "open science." This scientific commons emerged from the noble courts during the Renaissance as a hallmark of the scientific revolution and now faces pressure from what has been termed "intellectual capitalism," with the vast privatization of knowledge and innovation ("closed science") occurring in public universities and corporate R&D [5]. An academic system that is dominated by short term contracts, stymied by production incentives that favor quantity over quality, and jeopardized at the level of the "open knowledge" commons, presents a new institutional scenario revealing selection pressures that could alter the birth and death rates of high-impact careers.

The purpose of this stochastic model is to show how careers can become very susceptible to negative production shocks if the labor market is driven by a preferential capture mechanism with  $\gamma>1$  whereby early success of an individual can lead to future advantage. However, this model also shows that the onset of a fluctuation-dominant (volatile) labor market can also be amplified when the labor market is governed by short-term contracts reinforced by a short-term appraisal system. In such a system, career sustainability relies on continued recent short-term production, which can encourage rapid publication of low-quality science. In professions where there is a high level of competition for employment, bottlenecks form whereby most careers stagnate and fail to rise above an initial achievement barrier. Instead, these careers stagnate, and in a profession that shows no mercy for production lulls, these careers undergo a "sudden death" because they were "frozen out" by a labor market that did not provide insurance against endogenous fluctuations. Such a system is an employment "death trap" whereby most careers stagnate and "flat-line" at zero production. However, at the same time, a small fraction of the population overcomes the initial selection barrier and are championed as the "big winners", possibly only due to random chance.

Table demonstrates how the life expectancy decreases with increasing c even for the linear preferential capture model corresponding to  $\pi = 1$ . With increasing c, the model simulates systems with shorter contracts (shorter appraisal "memory" timescales), and so larger percentages of the population die before characteristic ages  $T_c(p)$ , values that decrease with increasing c for a given p.

$T_{-}(n)$	asa	0%	of $T$ .	(%	T
$\perp c(D)$	asa	70	$o_1$ $\iota$	1 / ()	

	p = 0.1	p = 0.25	p = 0.5	p = 0.75
c = 0  (long term)	0.94T	0.98T	1.00T	1.00T
c = 0.1	0.20T	0.79T	0.99T	1.00T
c = 1.0	0.01T	0.02T	0.05T	0.15T
c = 10.0  (short term)	0.01T	0.01T	0.02T	0.06T

TABLE S1: Decrease in career life expectancy as a result of short-term contract length in the  $\pi=1$  linear preferential capture model. The fraction p of the population that experienced career termination before the crossover age  $T_c(p)$ : "p percent of the population died before reaching the age  $L=T_c(p)$ ." As c increases (recall the appraisal "memory" timescale is 1/c) towards a short-term contract scenario, a significant fraction of the population (increasing p) dies before reaching a smaller and smaller  $T_c(p)$ . The empirical value of  $T_c(p)$  is given as a percentage of the maximum career length T corresponding to the stopping time of the Monte Carlo simulation. The value  $T_c(p)$  is calculated using the equality  $p = CDF(T < T_c(p))$ , where CDF(T < L) is the cumulative distribution function of career length T. To estimate T0 periods.

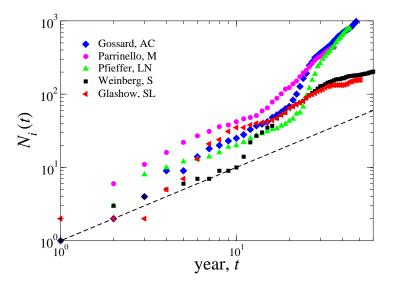


FIG. S1: Positive career shocks likely associated with reputation boosts. Examples of career production trajectories  $N_i(t)$  that have significant deviations from the scaling hypothesis in Eq. S7. These significant deviations likely follow extraordinary scientific discoveries (and the publicity and reputation that are typically rewarded) which can vault a career and result in lasting benefits to the individual.

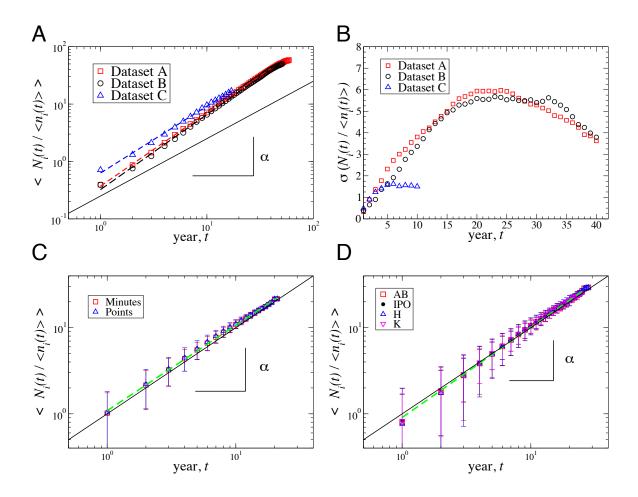


FIG. S2: Regularities in the career trajectory  $N_i(t)$ . We analyze the normalized career trajectory  $N_i'(t) \equiv N_i(t)/\langle n_i \rangle$  which allows us to aggregate  $N_i(t)$  with varying publication rates  $\langle n_i \rangle$ . As a result, we can better quantify the scaling exponent  $\overline{\alpha}$  which quantifies the acceleration of the typical career over time. We calculate  $\overline{\alpha}$  using OLS regression on log-log scale of the average normalized career trajectory  $\langle N'(t) \rangle \equiv \left\langle \frac{N_i(t)}{\langle n_i \rangle} \right\rangle$ . For reference, each  $N_i'(t)$  trajectory in panels A, B, and C has a corresponding best-fit curve that is a dashed line. (A) For the scientific careers, we calculate  $\overline{\alpha}$  values:  $1.28 \pm 0.01$  for Dataset A,  $1.31 \pm 0.01$  for Dataset B, and  $1.15 \pm 0.02$  for Dataset C. These values are all significantly greater than unity,  $\overline{\alpha} > 1$ , indicative of a systematic cumulative advantage effect in science. (B) The standard deviation  $\sigma N'(t)$  has a broad peak, likely related to career shocks that can significantly alter the career trajectory. (C) The average normalized career trajectory for NBA careers has  $\overline{\alpha} \approx 1$  (D) The average normalized career trajectory to MLB careers has  $\overline{\alpha} \approx 1$ . For visual comparison, the solid straight black line in panels A,B and C correspond to a linear function with  $\alpha = 1$ .

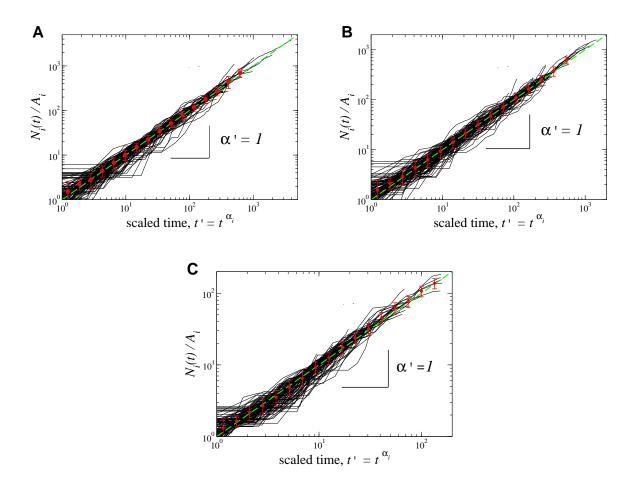


FIG. S3: Using scaling methods to show approximate data collapse of each  $N_i(t)$ . Normalized trajectory  $\tilde{N}_i(t) \equiv N_i(t)/A_i$  plotted using the scaled time  $t' \equiv t^{\alpha_i}$  for each career over the time horizon  $t \in [1, 40]$  years. We plot the 100  $\tilde{N}_i(t)$  curves belonging to datasets [A], [B], and [C] in the corresponding panels. There is approximate data collapse of all the normalized trajectories  $\tilde{N}_i(t)$  along the dashed green line corresponding to the rescaled career trajectory  $\tilde{N}_i(t) = t'$  with  $\alpha' \equiv 1$  by construction. We also plot in red the corresponding average value  $\langle \tilde{N}_i(t) \rangle$  with  $1\sigma$  error bars for logarithmically spaced t' intervals. Deviations from  $\langle \tilde{N}_i(t) \rangle$  are indicative of career shocks which can significantly alter the career trajectory.

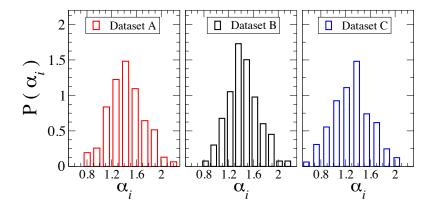


FIG. S4: Increasing returns to scale  $\alpha > 1$ . Probability distribution of the individual  $\alpha_i$  values calculated for each career using the scaling model  $N_i(t) \sim t^{\alpha_i}$  over time horizon  $t \in [1, 40]$  years. The average  $\langle \alpha_i \rangle$  and standard deviation  $\sigma(\alpha_i)$  for each dataset are:  $1.42 \pm 0.29$  [A],  $1.44 \pm 0.26$  [B],  $1.30 \pm 0.31$  [C]. The distribution of  $\alpha_i$  values indicate that career trajectories are typically accelerating  $(\alpha_i > 1)$ , most likely the result of a cumulative advantage effect.

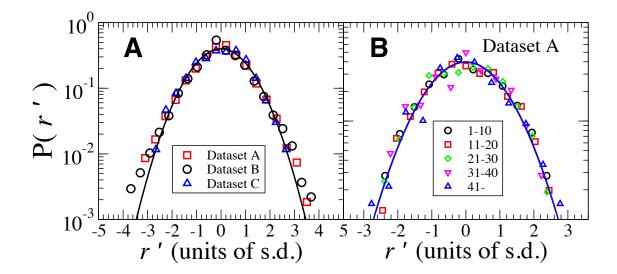


FIG. S5: Universal patterns in underlying production fluctuations of scientists. Accounting for variable individual publication factors, such as academic subfield or group collaboration size, we find that the normalized annual production change  $r'_i(t) \equiv [r_i(t) - \langle r \rangle_i]/\sigma_i$  is distributed according to a Gaussian distribution, with  $\langle r' \rangle = 0$  and  $\sigma(r') = 1$  by construction (solid lines show best-fit Guassian distributions using the maximum likelihood estimator method). This results indicates that the Laplace distribution shown in Fig. 3 results from a mixture of Gaussian distributions  $P_i(r = \sigma_i r')$  with characteristic scale  $\sigma_i$ .

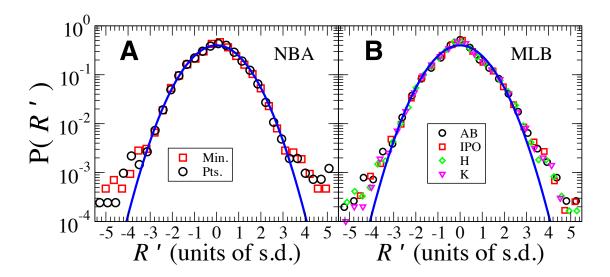


FIG. S6: Universal patterns in underlying production fluctuations of athletes. For sports careers, we also define a measure R' which account for variable individual production factors, such as propensity for injury, team position, etc. The normalized annual growth rate  $R'_i \equiv [z_i(t) - \langle z(t) \rangle]/\sigma_{z(t)}$  is normalized twice. The quantity  $z_i(t) \equiv (r_i(t) - \langle r_i \rangle)/\sigma_i$  is normalized with respect to individual factors, where  $\langle r_i \rangle$  and  $\sigma_i$  are the average and standard deviation of the production change of career i. Then, we aggregate all  $z_i(t)$  values for a given career year t in order to calculate the average  $\langle z(t) \rangle$  and standard deviation  $\sigma_{z(t)}$  over all careers. The final quantity  $R'_i$  represents a normalized annual production change which is distributed in the bulk according to a Gaussian distribution, with  $\langle R' \rangle \approx 0$  and  $\sigma(r') \approx 1$  by construction (solid lines show best-fit Guassian distributions using the maximum likelihood estimator method). This results indicates that the Laplace distribution shown in Fig. 3 results from a mixture of Gaussian distributions  $P_i(R = \sigma_i R')$  with characteristic scale  $\sigma_i$ .

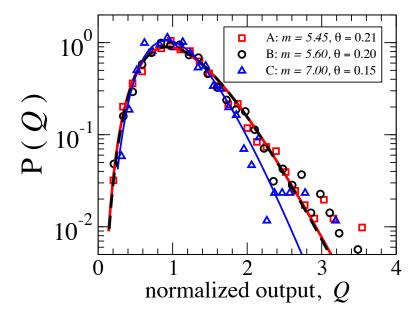


FIG. S7: Universal micro-scale output distribution P(Q) which accounts for coauthorship variability. The normalized output  $Q \propto n_i/k_i^{\gamma_i}$  is a residual output after we quantitatively account for the collaboration size  $k_i$  corresponding to the number of distinct coauthors of author i. Each pdf is well-approximated by the Gamma distribution  $P(Q) \propto Q^{m-1} \exp[-Q/\theta]$  which suggests that production at the micro scale is governed by a Gamma Lévy process. We calculate the Gamma distribution parameters using the maximum likelihood estimator method (distributions shown by solid and dashed curves), and find an insignificant difference between [A] and [B] scientists with Gamma shape parameter m and scale parameter  $\theta$ . However, for dataset [C] scientists, the output distribution is more skewed towards smaller Q values, possibly reflecting the relative advantage that senior scientists gain due to reputation, experience, and knowledge spillover factors.

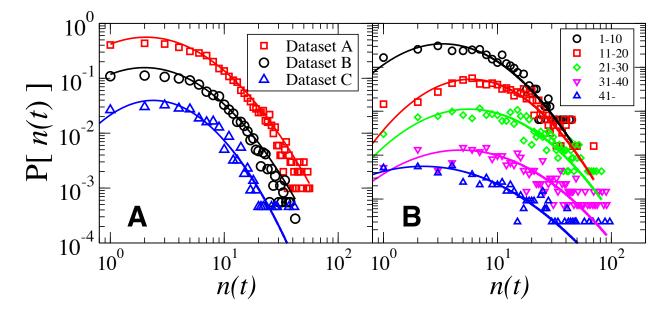


FIG. S8: Aggregate production distributions can be deceiving. Unconditional distribution of annual publication rate n(t) appears as log-normal distributions because it is a mixture of underlying distributions that depend strongly on collaboration factors. We define  $n_i(t)$  as the number of papers published in (A)  $\Delta t = 1$  and (B)  $\Delta t = 2$  year periods, which reduces the finite-size effects arising from the calendar year labeling of publication dates. (A) We combine  $n_i(t)$  values for all values of t, and find excellent agreement between the empirical P(n(t)) data points and the log-normal model. We use the maximum likelihood estimator method to calculate the log-normal parameters  $\sigma_L \equiv \sigma(\ln n)$  and  $\mu = \langle \ln n \rangle$ . (B) In order to analyze the time-dependence of P(n(t)), we separate  $n_i(t)$  values from Dataset A into 5 subsets, depending on the range t years into the career, as indicated in the figure legend. We offset each pdf by a constant factor in order to distinguish each pdf, which are also well-approximated by log-normal distributions (shown as solid curves).

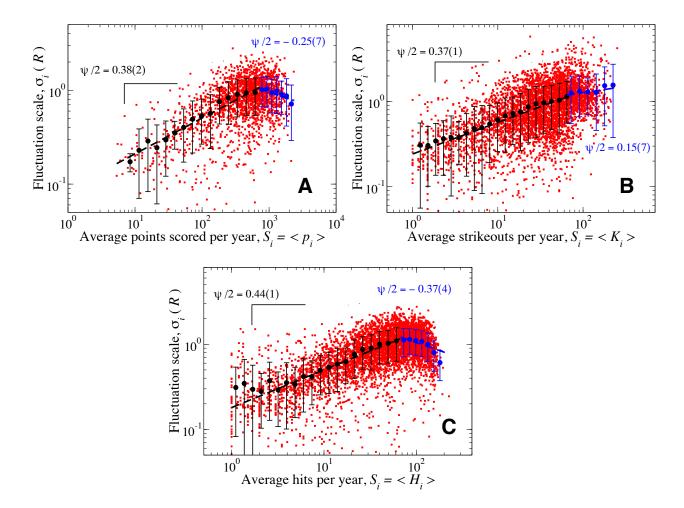


FIG. S9: Quantifying the growth fluctuations of sports careers. The size variance relation for sports careers is similar to academic careers for small  $S_i$ . However, for relatively large  $S_i$  the relation becomes decreasing corresponding to  $\psi < 0$ , analogous to what is found for firm growth [39-42]. The decreasing relation for  $S_i > S_c$  likely follows from the fact that in sports, there is a hard upper limit to the number of opportunities available to a player in a given year. Hence, individuals with large  $S_i$  are likely the starters on their teams, since it is neither economical nor in the strategy of winning to keep players above a threshold value  $S_c$  out of the game, and so these players typically remain as positional starters except for episodic leaves of absence due to injury. Hence, these players experience smaller  $\sigma_i(r)$  due to limitations to their potential for further career growth. However, players with  $S_i < S_c$  are typically on the fringe of being released or provide alternative value to the team, and so these individuals experience larger fluctuations in team play because they are easily dispensable, especially in a profession dominated by short-contracts lasting sometimes less than a year. For each dataset, we use careers with career length  $L_i \geq 3$  seasons. (A) NBA basketball players: Units of  $\sigma_i(R)$  are normalized minutes played. We define the scaling relation  $\sigma_i(R) \sim \langle p_i \rangle^{\psi/2}$  between the average number of points scored per season  $\langle p_i \rangle = \sum_{t=1}^{L_i} p_i(t)/L_i$  and the standard deviation  $\sigma_i(R)$ . In this way, we utilize the average points per season as the proxy for the ability of a player to obtain future opportunities which are realized as minutes played. Using  $S_c \equiv 720$  points, we calculate  $\psi/2 = 0.38 \pm 0.02$  (regression coefficient R = 0.50and ANOVA F-test significance level  $p \approx 0$ ) for  $S_i < S_c$  and  $\psi/2 = -0.25 \pm 0.07$  (R = 0.15 and  $p \approx 10^{-3}$ ) for  $S_i > S_c$ . (B) MLB pitchers: Units of  $\sigma_i(R)$  are normalized IPO (innings pitched in outs). Interestingly,  $\sigma_i(R)$  continues to increase for  $S_i > S_c$ , possibly due to the relatively high career risk attributed to throwing arm injury. Using  $S_c \equiv 65$  strikeouts, we calculate  $\psi/2 = 0.37 \pm 0.01$  (R = 0.48 and  $p \approx 0$ ) for  $S_i < S_c$  and  $\psi/2 = +0.15 \pm 0.07$  (R = 0.07 and  $p \approx 0.02$ ) for  $S_i > S_c$ . (C) MLB batters: Units of  $\sigma_i(R)$  are normalized AB (at bats). Using  $S_c \equiv 68$  hits, we calculate  $\psi/2 = 0.44 \pm 0.01$  (R = 0.59and  $p \approx 0$ ) for  $S_i < S_c$  and  $\psi/2 = -0.37 \pm 0.03$  (R = 0.21 and  $p \approx 0$ ) for  $S_i > S_c$ . The dashed black (blue) line in each panel is a least squares linear regression on log-log scale for all data values with  $S_i$  less (greater) than  $S_c$ . The data shown with error bars represent the average  $\langle \sigma_i(R) \rangle$  and corresponding 1 standard deviation values calculated using equally spaced  $S_i$  bins on the logarithmic scale.

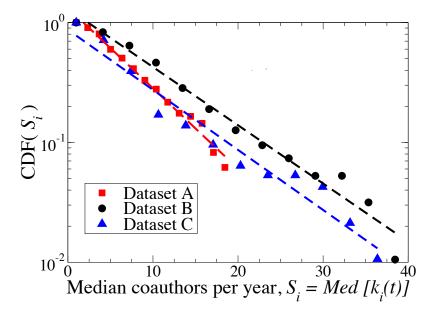


FIG. S10: Exponential distributions of coauthor radius in Physics. We test the hypothesis that the distributions P(r) for annual production change r (shown in Fig. 3) follow from an exponential mixing of Gaussians with varying fluctuation scale  $\sigma_i \propto Med[k_i(t)]^{\psi/2}$ . An important criteria for this model is that the distribution of  $S_i \equiv Med[k_i(t)]$  is exponential,  $P(S_i) \sim \exp[-\lambda S_i]$ . We plot the cumulative distribution function (CDF)  $P(x > S_i)$  for each dataset, and confirm that the distributions are approximately linear on log-linear axes. Using linear regression, we calculate  $\lambda = 0.15 \pm 0.01$  [A],  $\lambda = 0.11 \pm 0.01$  [B], and  $\lambda = 0.11 \pm 0.01$  [C].

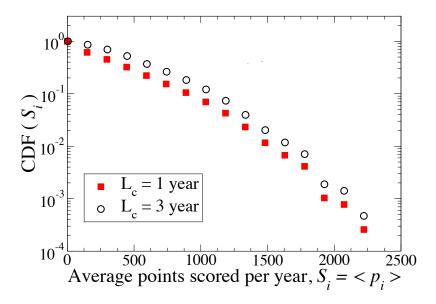


FIG. S11: Approximately exponential distribution of scoring value in the NBA. We further test the hypothesis that the distributions P(R) for annual production change R in professional sports (shown in Fig. 3 C and D) follow from an exponential mixing of Gaussians with varying fluctuation scale  $\sigma_i \propto \langle p_i \rangle^{\psi/2}$ . An important criteria for this model is that the distribution of "team value"  $\langle p_i \rangle$  is exponential,  $P(\langle p_i \rangle) \sim \exp[-\lambda \langle p_i \rangle]$ . We plot the cumulative distribution function (CDF)  $P(x > \langle p_i \rangle)$  for each dataset, and confirm that the distributions are approximately linear on log-linear axes. We show the CDFs calculated using all careers with career length  $L_i \geq L_c$  years, for  $L_c = 1, 3$  years.

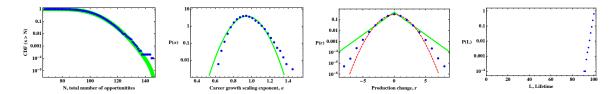


FIG. S12: A production output null model with  $\pi=0$  agrees with the predictions of a Poisson process. (Far left) The cumulative distribution CDF(x>N) is in excellent agreement with the prediction of a Poisson process with rate  $\lambda_p=1$  and corresponding average  $\langle N\rangle=\lambda_pT=100$ . The solid green curve is the corresponding Poisson CDF using  $\langle N\rangle\equiv 100$ . (Middle left) Furthermore, the typical scaling exponent  $\langle \alpha\rangle=1$  which is also consistent with Poisson trajectories. (Middle right) The distribution of production changes is close to Gaussian. (Far right) The typical career length  $L_i$  spans the entire system length T, indicating low levels of career risk.

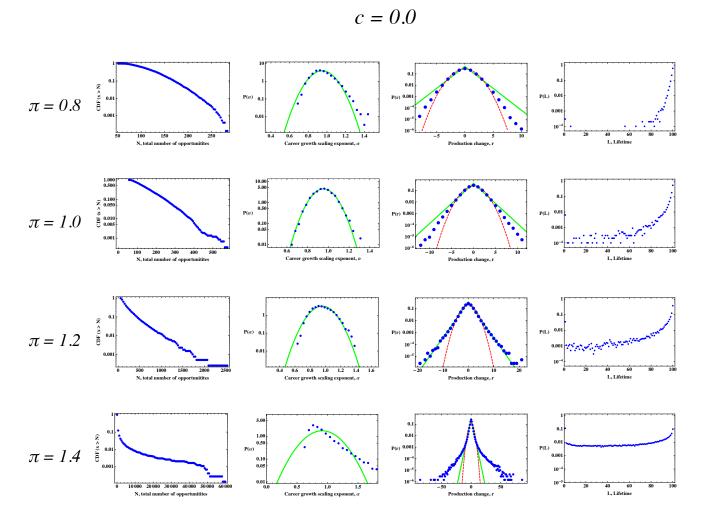


FIG. S13: The production output model with c=0. Results of MC simulations for a "long-term appraisal" scenario. Careers are less vulnerable to low-production phases, and as a result, most agents sustain production throughout the career for a relatively large range of  $\pi$  values.



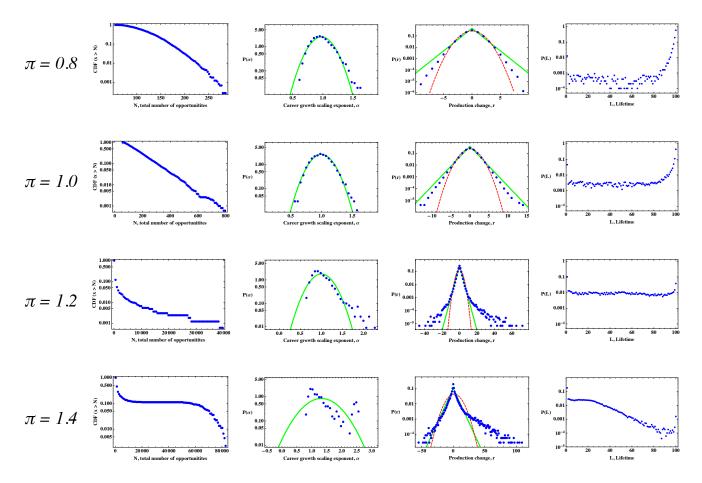


FIG. S14: The production output model with c=0.1. Results of MC simulations for a "medium-term appraisal" scenario. The corresponding memory time scale is approximately 10 time periods, and so only for significantly large  $\pi=1.4$  do we observe a labor market scenario in which there is a significant death rate and just a few "big winners" corresponding to those agents with  $\alpha \geq 1$ .



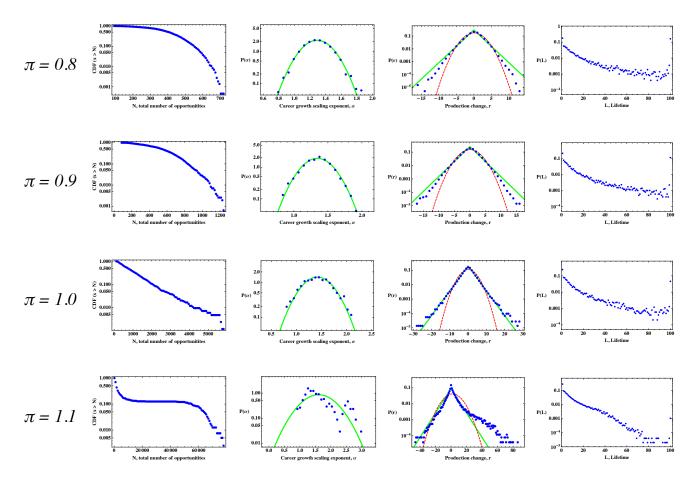


FIG. S15: The production output model with c=1.0. Results of MC simulations for a "short-term appraisal" scenario. The corresponding memory time scale is approximately 1 time period. Even for  $\pi<1$ , the system is driven by fluctuations that can cause career "sudden death" for a large fraction of the population. For  $\pi>1$  we observe a very quick transition to a significant death rate and just a few "big winners" corresponding to those agents with  $\alpha\geq 1$ .



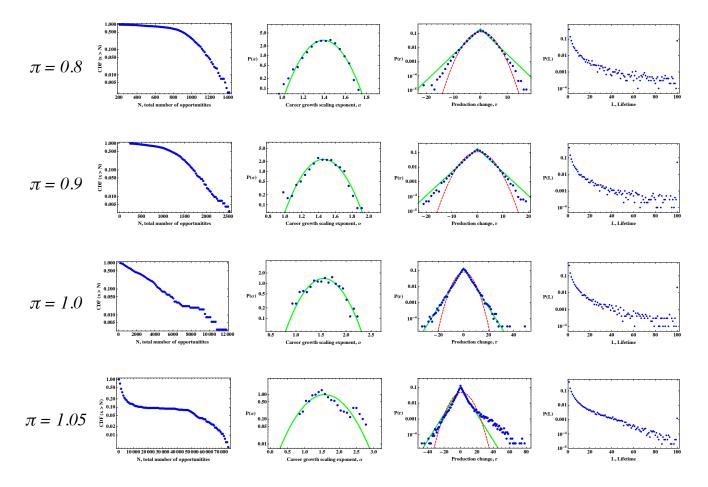


FIG. S16: The production output model with c=10.0. Results of MC simulations for a "zero-memory appraisal" scenario wherein only the previous period matters,  $w_i(t) = n_i(t-1)$ . Even for linear preferential capture  $\pi=1$ , the systems shows "no mercy" for careers that are stagnant for possibly just one period. As a result, just a few "lucky" agents are able to survive the initial fluctuations and end up dominating the system. For  $\pi$  values close to unity,  $\pi \to 1$ , the systems quickly becomes an employment "death trap" whereby most careers stagnate and "flat-line."